

# Use of Statistical Techniques in High-throughput Sequencing

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**Christopher E. Mason**

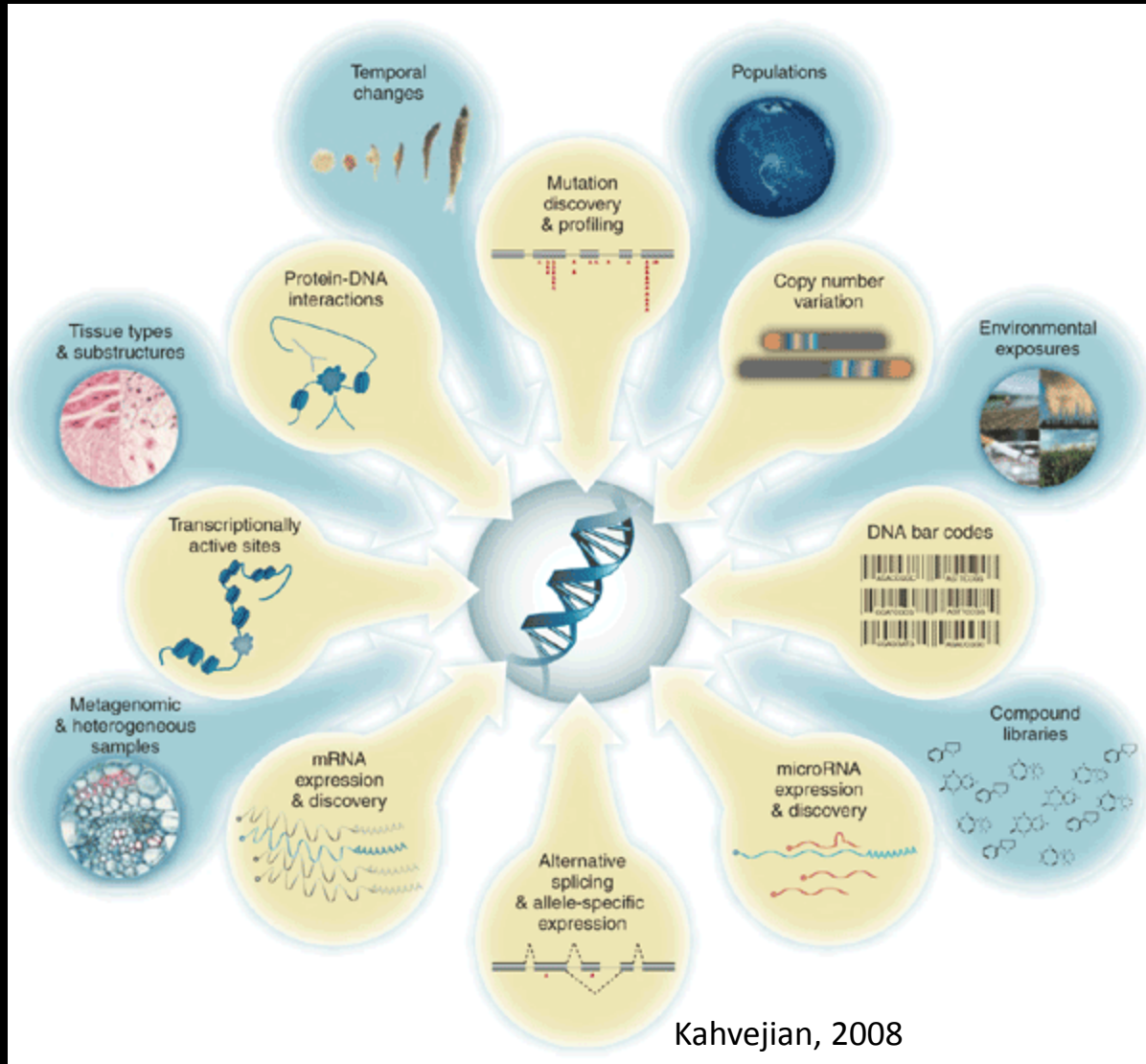
Assistant Professor

The Institute for Computational Biomedicine,  
Department of Physiology and Biophysics at  
Weill Cornell Medical College, and the

Tri-I Program (Cornell, MSKCC, Rockefeller) on Comp. Bio. & Medicine

February 23<sup>rd</sup>, 2011

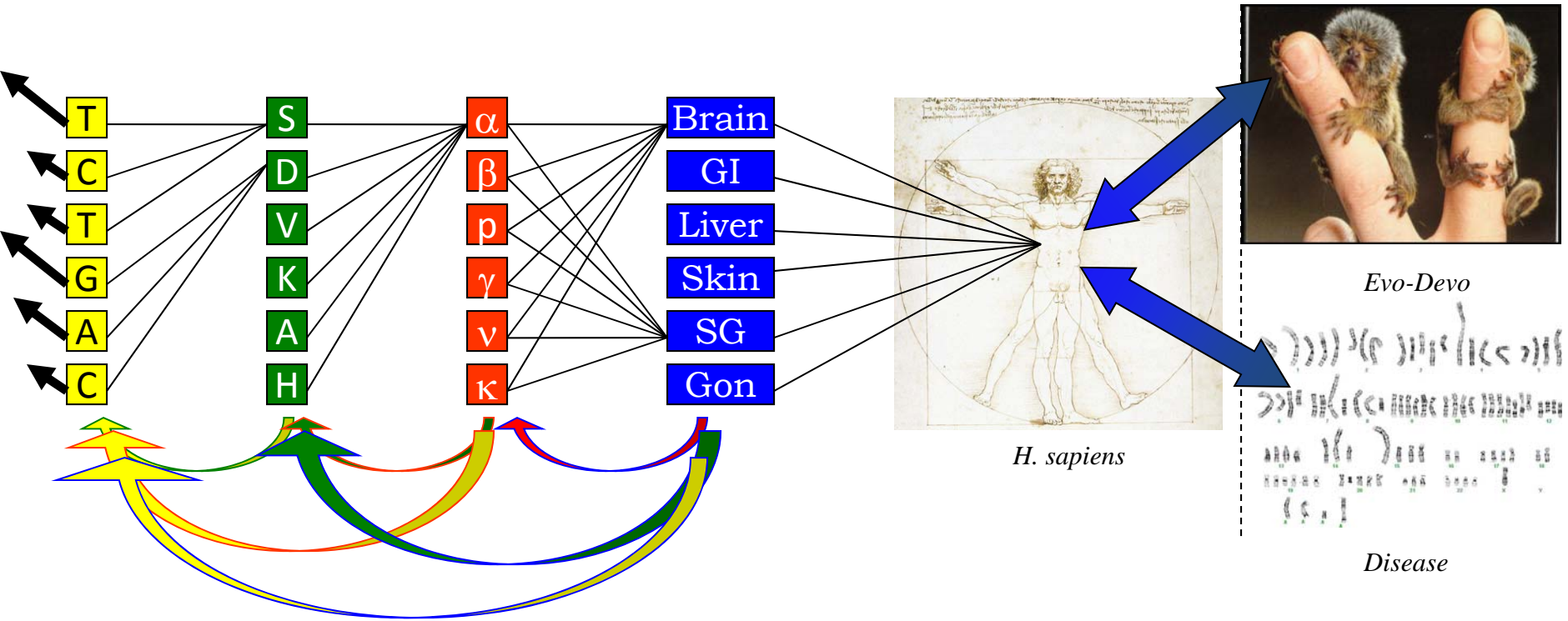
Since DNA defines the biochemical recipe for the genesis of organisms, sequencing allows us to create molecular portraits of development and disease at single-base resolution.



PHASE TWO: INTERPRETATION

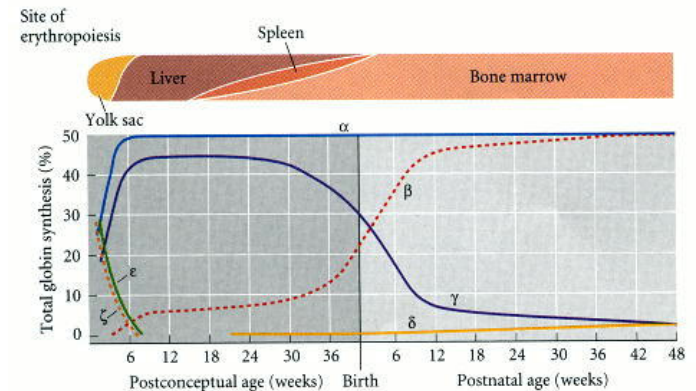
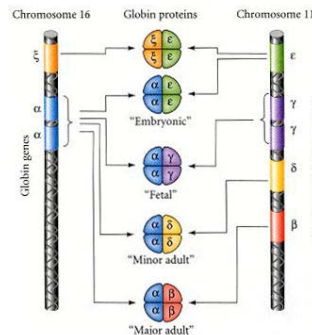


# Understanding the genome's mutation, selection, and/or drift

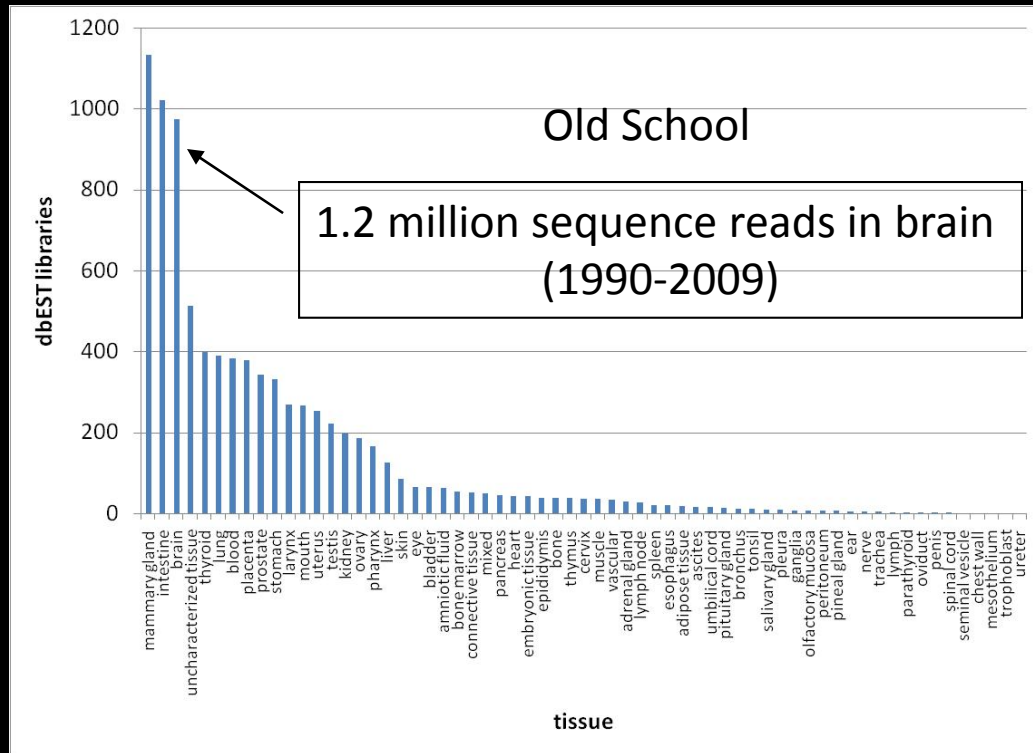


How can we understand these interactions?

Integrated, spatiotemporal molecular profiling.



# What erudition do we have now on the functional elements?



➤ Currently limited amount of EST info at NCBI

➤ EST data is expensive, time-consuming (cloning), and exhibits 3' bias.

➤ Much EST and cDNA data is for whole brains, and few libraries exist with region-specific data.

**New School:**  
One run of a NGS machine = billions of sequence reads in days

# Description/Discussion of the Various Technologies

- The goal of the Archon X prize in Genomics is to enable a \$1,000 genome,
- Currently at \$5,000-\$50,000
- Certain platforms are better suited for certain tasks:
  - Counting applications (ChIP-Seq, RNA-Seq) need more reads
  - *De novo* assembly work needs longer reads
  - Whole genome re-sequencing requires lower errors rate and high processivity



# But, there are many options:

Platform	Library/ template preparation	NGS chemistry	Read length (bases)	Run time (days)	Gb per run	Machine cost (US\$)	Pros	Cons	Biological applications	Refs
Roche/454's GS FLX Titanium	Frag, MP/ emPCR	PS	330*	0.35	0.45	500,000	Longer reads improve mapping in repetitive regions; fast run times	High reagent cost; high error rates in homo- polymer repeats	Bacterial and insect genome <i>de novo</i> assemblies; medium scale (<3 Mb) exome capture; 16S in metagenomics	D. Muzny, pers. comm.
Illumina/ Solexa's GA <sub>II</sub>	Frag, MP/ solid-phase	RTs	75 or 100	4 <sup>†</sup> , 9 <sup>§</sup>	18 <sup>†</sup> , 35 <sup>§</sup>	540,000	Currently the most widely used platform in the field	Low multiplexing capability of samples	Variant discovery by whole-genome resequencing or whole-exome capture; gene discovery in metagenomics	D. Muzny, pers. comm.
Life/APG's SOLiD 3	Frag, MP/ emPCR	Cleavable probe SBL	50	7 <sup>†</sup> , 14 <sup>§</sup>	30 <sup>†</sup> , 50 <sup>§</sup>	595,000	Two-base encoding provides inherent error correction	Long run times	Variant discovery by whole-genome resequencing or whole-exome capture; gene discovery in metagenomics	D. Muzny, pers. comm.
Polonator G.007	MP only/ emPCR	Non- cleavable probe SBL	26	5 <sup>§</sup>	12 <sup>§</sup>	170,000	Least expensive platform; open source to adapt alternative NGS chemistries	Users are required to maintain and quality control reagents; shortest NGS read lengths	Bacterial genome resequencing for variant discovery	J. Edwards, pers. comm.
Helicos BioSciences HeliScope	Frag, MP/ single molecule	RTs	32*	8 <sup>†</sup>	37 <sup>†</sup>	999,000	Non-bias representation of templates for genome and seq-based applications	High error rates compared with other reversible terminator chemistries	Seq-based methods	91
Pacific Biosciences (target release: 2010)	Frag only/ single molecule	Real-time	964*	N/A	N/A	N/A	Has the greatest potential for reads exceeding 1 kb	Highest error rates compared with other NGS chemistries	Full-length transcriptome sequencing; complements other resequencing efforts in discovering large structural variants and haplotype blocks	S. Turner, pers. comm.

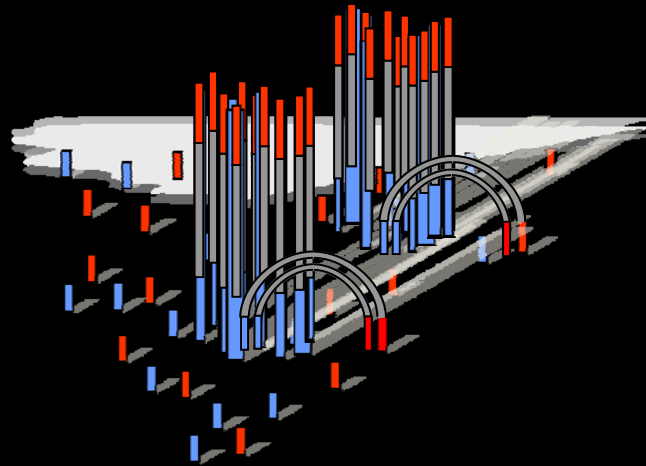
# Illumina SBS Technology

*Reversible Terminator Chemistry Foundation*

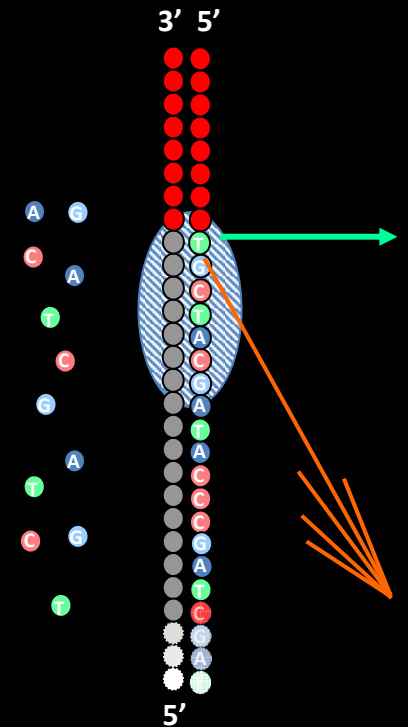
DNA  
(0.1-1.0 ug)



Sample  
preparation



Cluster growth



Sequencing

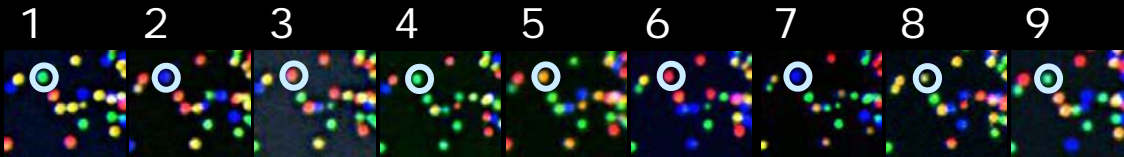
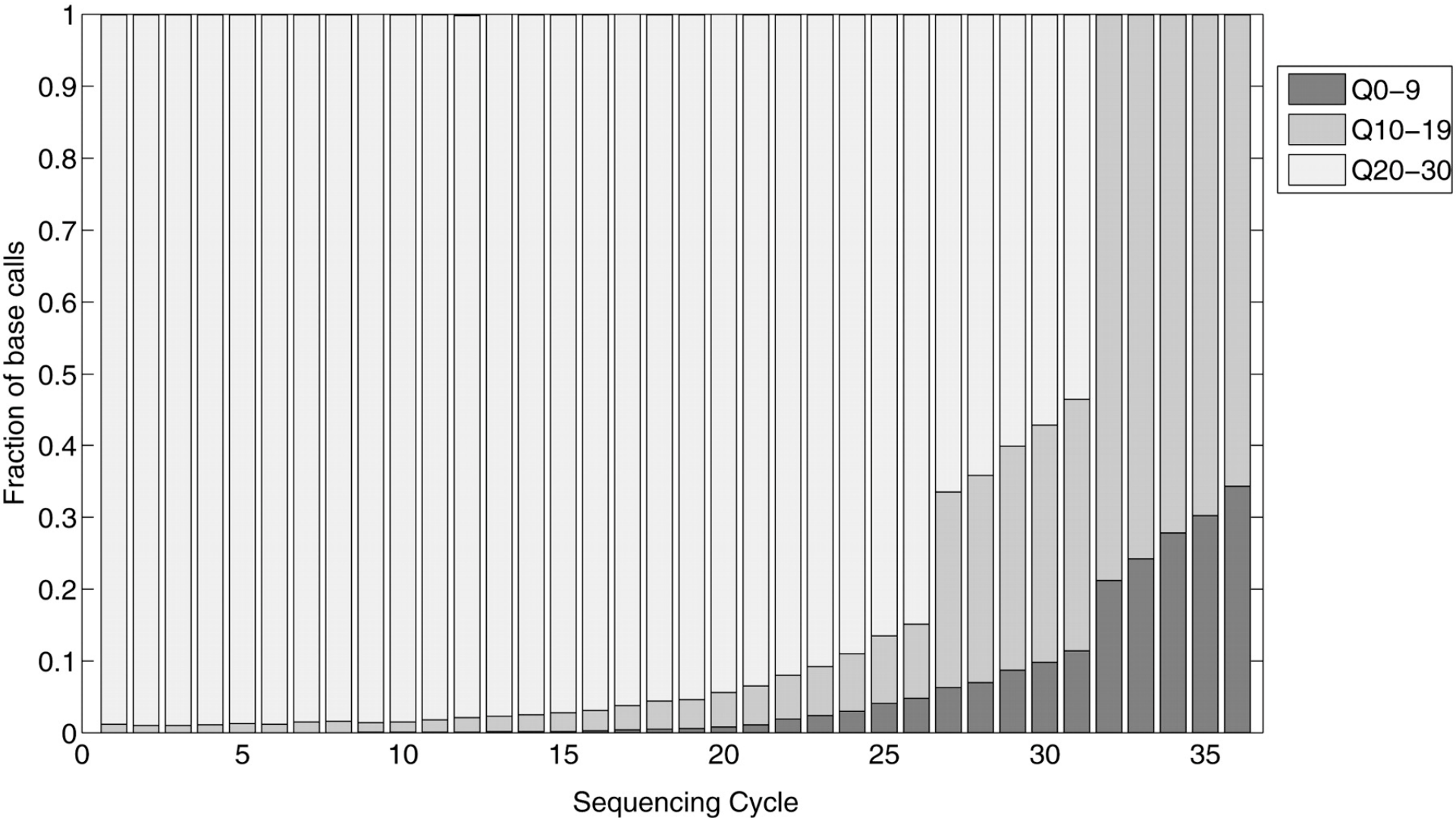


Image acquisition

Base calling



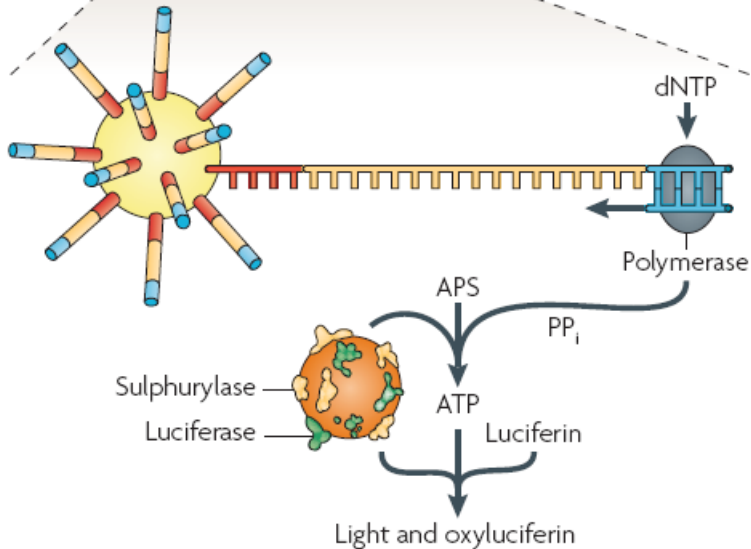
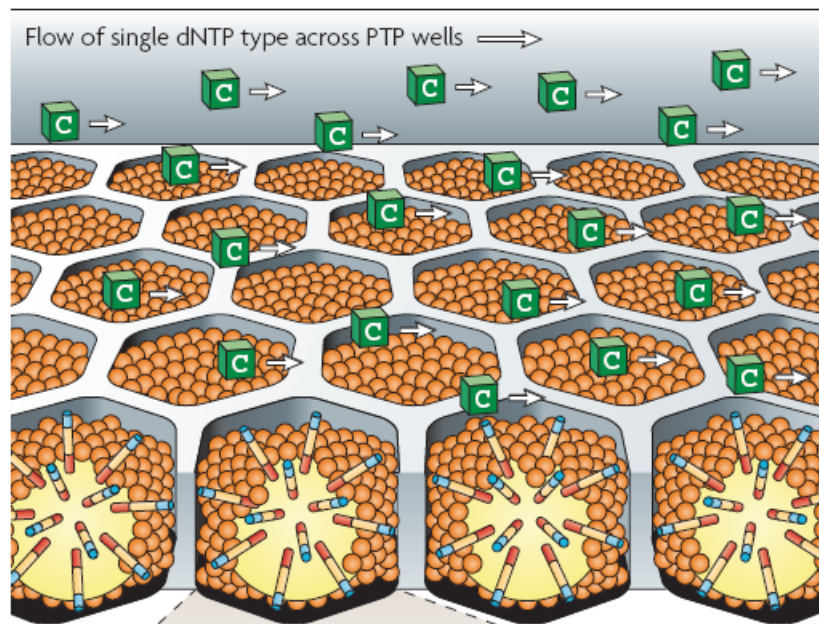
# Quality Scores vary



# Pyrosequencing

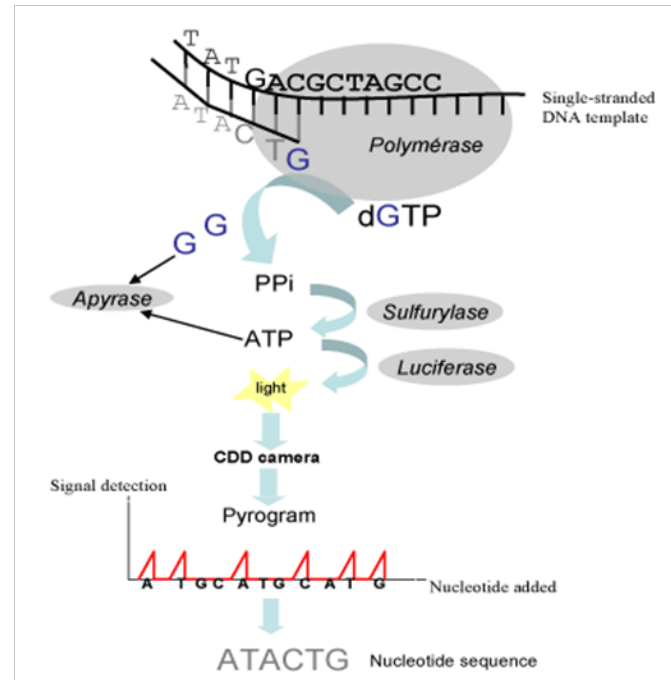
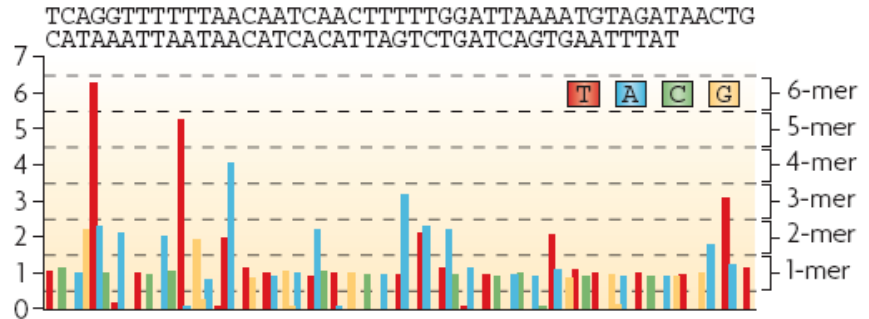
## Roche/454 — Pyrosequencing

1–2 million template beads loaded into PTP wells



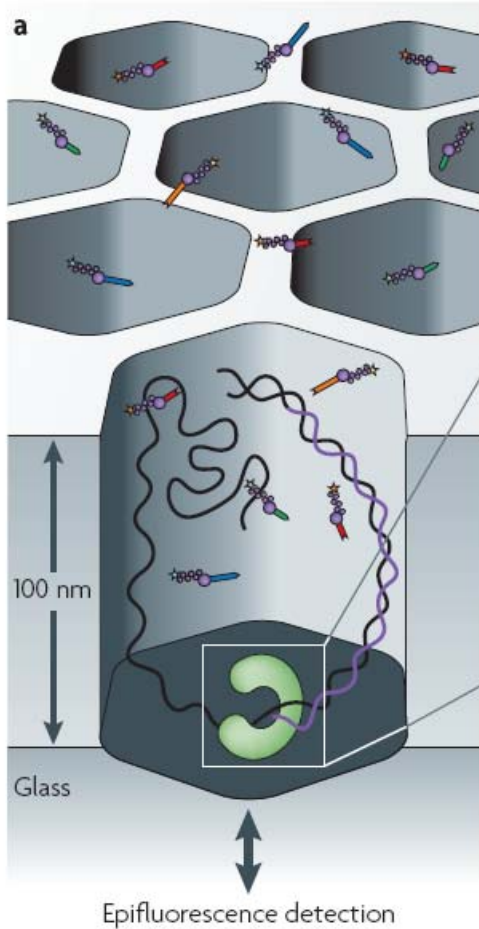
d

Flowgram

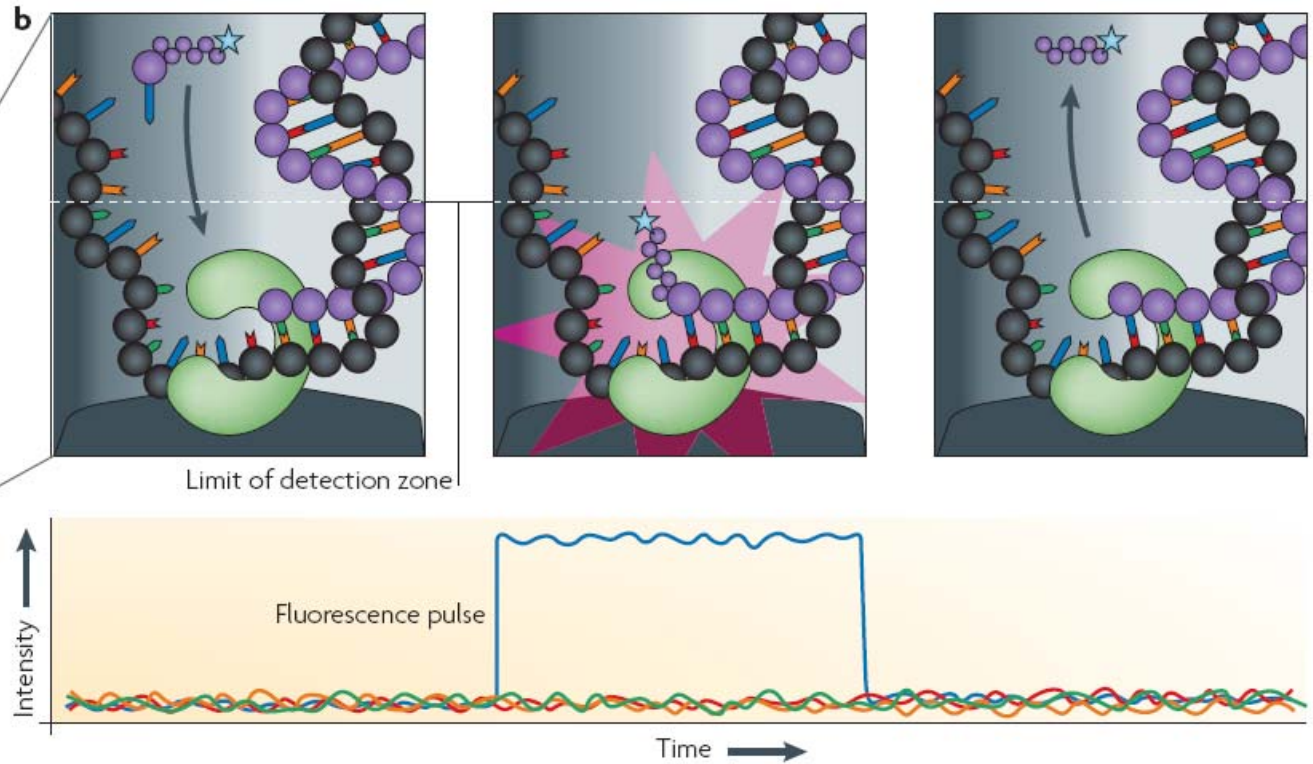
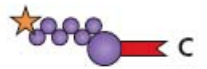
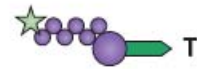
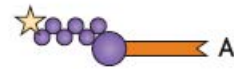
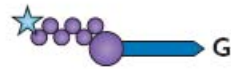


# Single Molecule Real-Time

Pacific Biosciences — Real-time sequencing



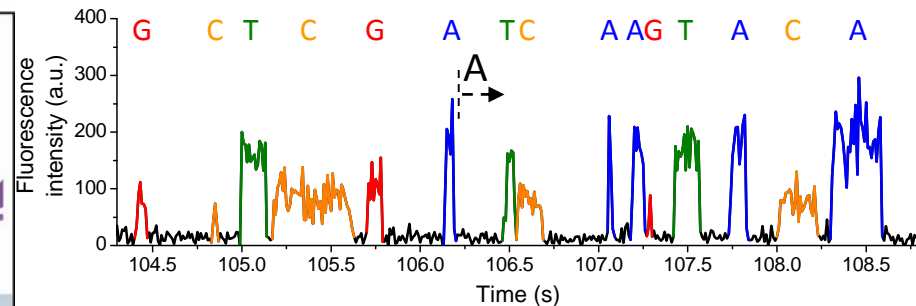
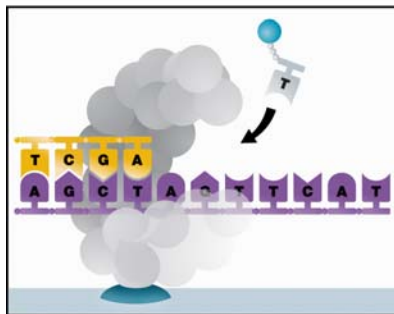
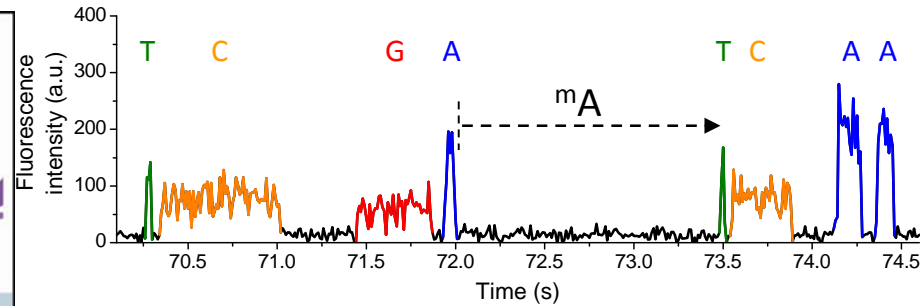
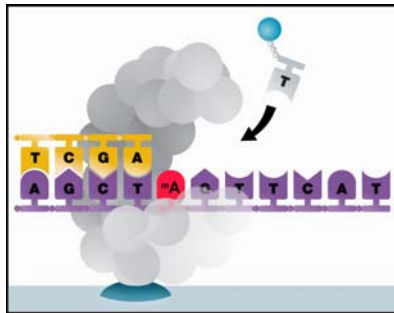
Phospholinked hexaphosphate nucleotides



# Direct Detection of Methylation

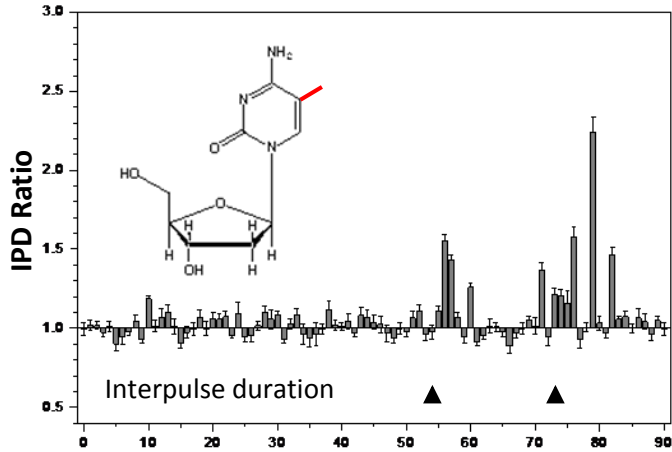
Approach: Kinetic detection of methylated bases during SMRT DNA sequencing

Example: N<sup>6</sup>-methyladenosine (mA)

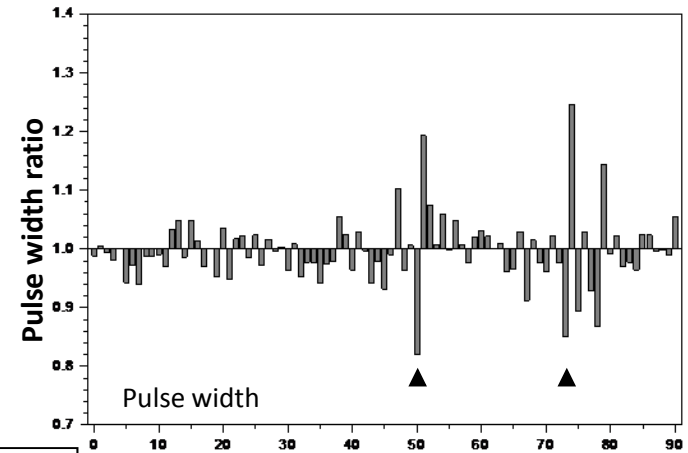
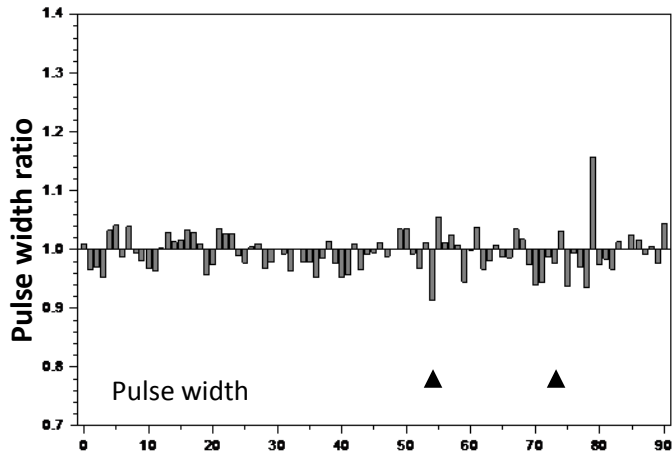
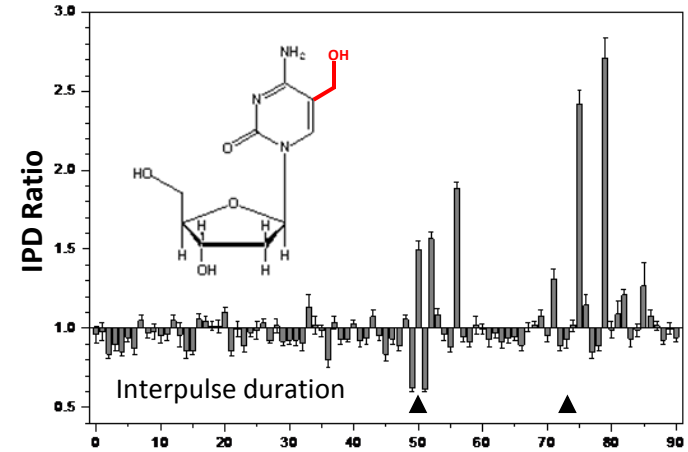


# detect other base modifications

### 5-methylcytosine (<sup>m</sup>C)



### 5-hydroxymethylcytosine (<sup>hm</sup>C)

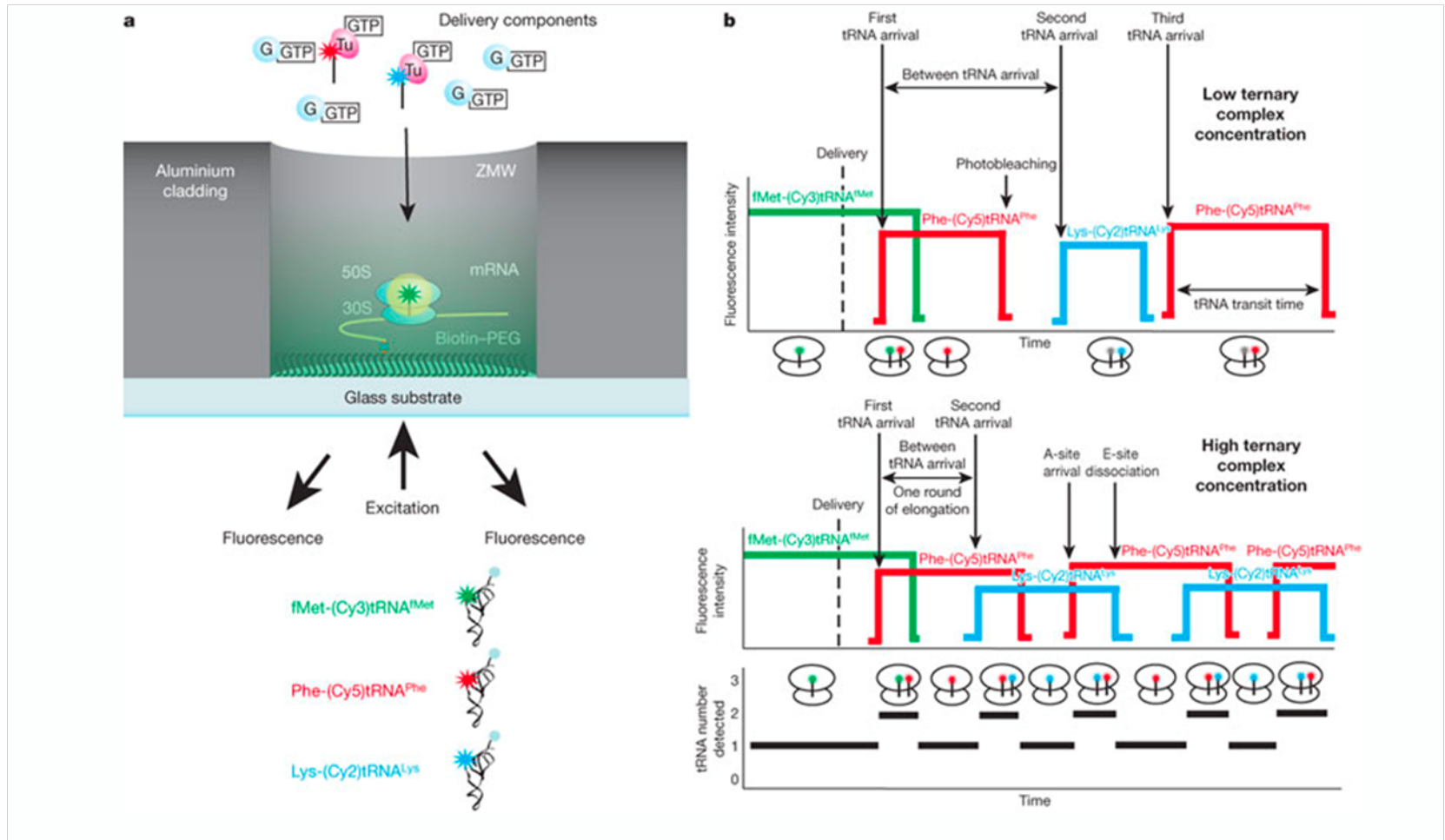


▲ = Methylated position

DNA Template Position

DNA Template Position

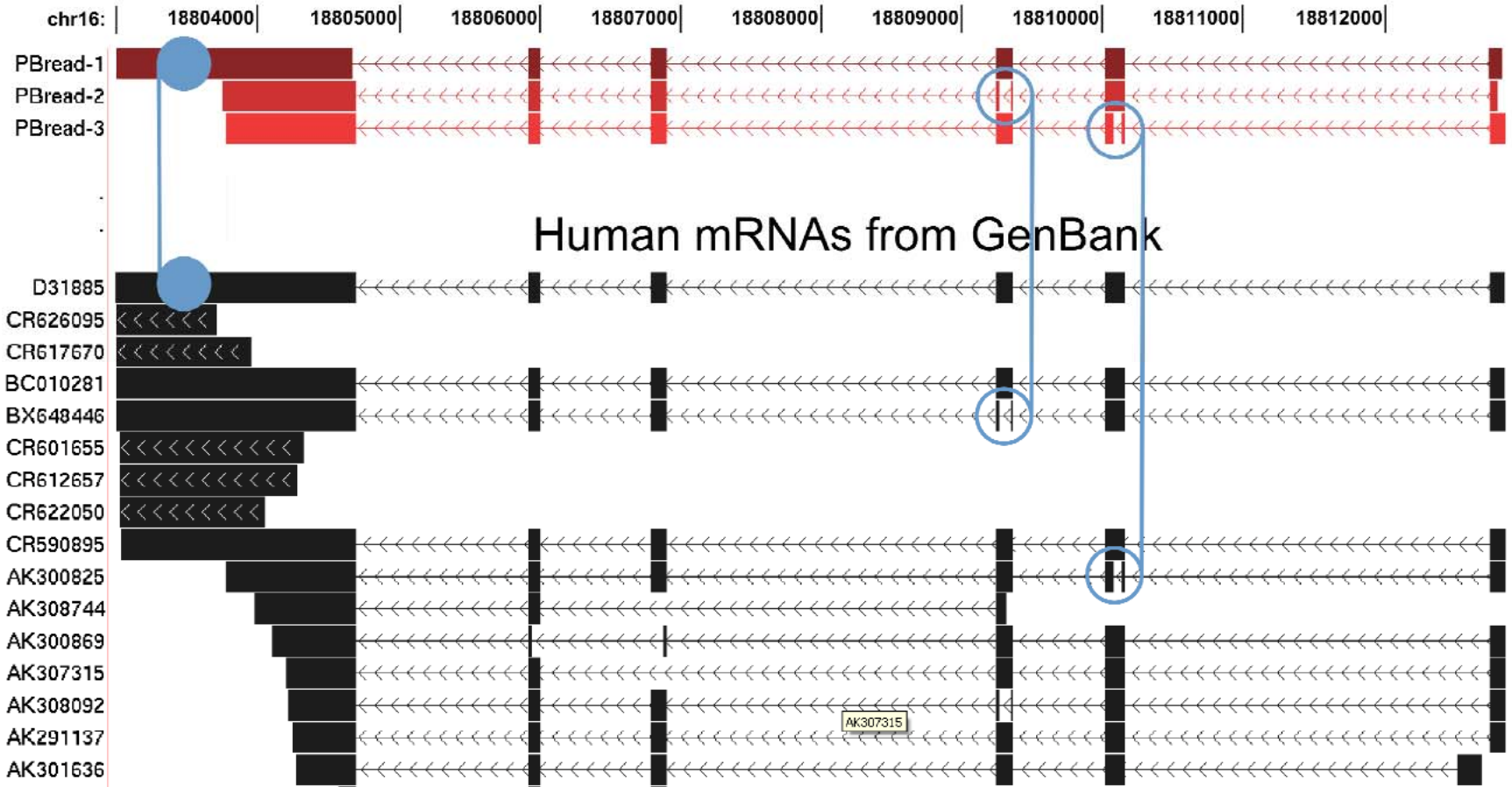
# Watch Translation in Real-Time



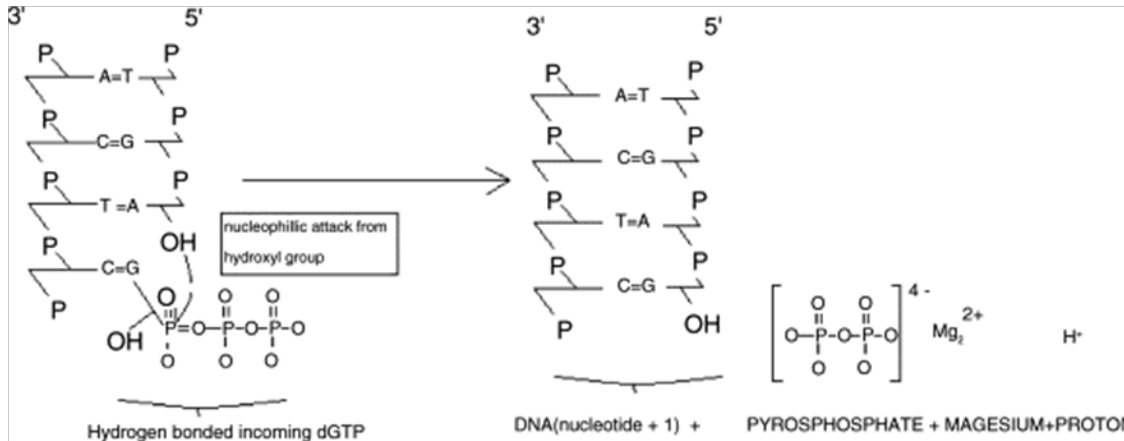
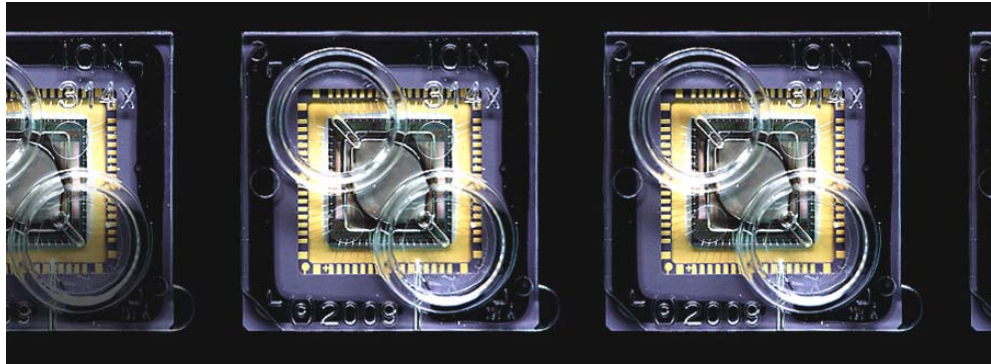
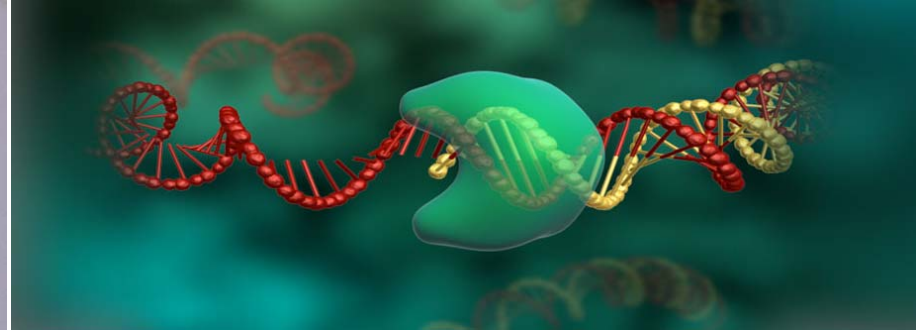


# Full-length cDNA sequencing

## PacBio Reads Mapping to ARL6IP1 mRNA Splice Variants



# “Post-Light,” Semi-Conductor Sequencing



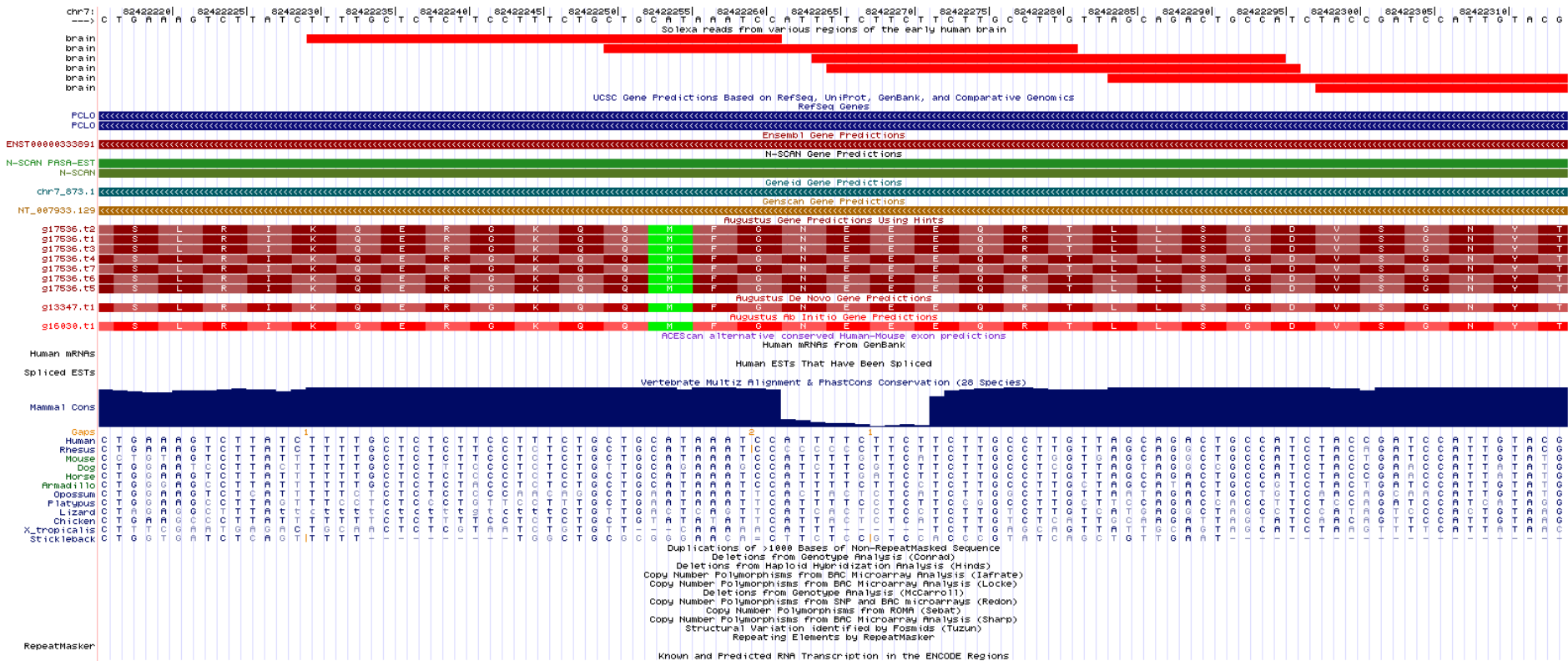
Essentially,  
7 million  
very small  
pH meters

Purushothaman *et al*, 2005  
IonTorrent, Inc.

# Each Platform has various sources of noise, and thus Error

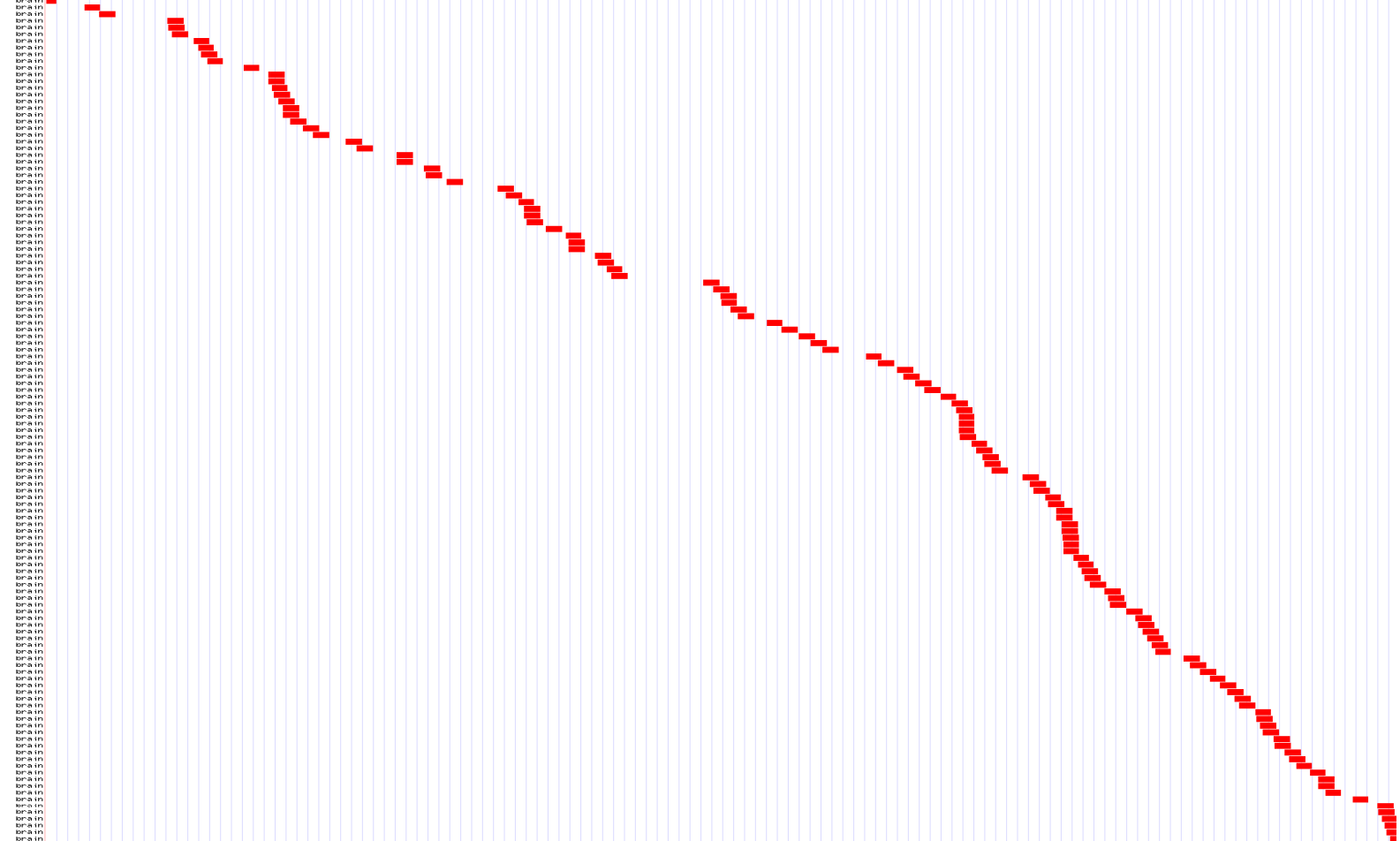
- De-Phasing
  - Lagging strand dephasing from incomplete extension
  - Leading strand dephasing from over-extension
- Dark Nucleotides
- Polymerase errors ( $10^{-5}$  to  $10^{-7}$ )
- Platform-specific errors
  - Illumina more likely to have error after 'G'
  - PCR-based methods miss GC- and AT-rich regions

# Alignment to the genome



chr7: | 82421100 | 82421200 | 82421300 | 82421400 | 82421500 | 82421600 | 82421700 | 82421800 | 82421900 | 82422000 | 82422100 | 82422200 | 82422300 | 82422400 | 82422500 | 82422600 | 82422700 | 82422800 | 82422900 | 82423000 | 82423100 | 82423200 | 82423300 | 82423400 | 82423500 | 82423600 |

50 bp windows from various regions of the human genome



UCSC Gene Predictions Based on RefSeq, UniProt, GenBank, and Comparative Genomics

RefSeq Gene

PCLO

ENST00000335991

N-SCAN Proximal

N-SCAN

chr7\_873.1

GeneId Gene Predictions

Genbank Gene Predictions

NT\_067933.129

Augustus Gene Predictions Using Hints

g17536.t2

g17536.t1

g17536.t3

g17536.t4

g17536.t5

g17536.t6

g17536.t7

g13347.t1

Augustus De Novo Gene Predictions

g16936.t1

Augustus Ab Initio Gene Predictions

NCSCAN alternative conserved Repeat/Repeat exon predictions

Human mRNAs

Human ESTs That Have Been Spliced

Spliced ESTs

Vertebrate Multiz Alignment & PhastCons Conservation (26 Species)

Mammal

Rhesus

Mouse

Dog

HORSE

Armadillo

Genus

Pig

Chick

Chicken

Xenopus

Xenopus

Stickleback

Repeats

RepeatMasker

Known and Predicted RNA Transcription in the ENCODE Regions

# Analyzing High-Resolution Data

- Bayesian Methods
- Hidden Markov Models
- Permutation Testing
- Circular Binary Segmentation
- Seed-seeking
- Least Squares Regression
- Democratic Voting



# Prior

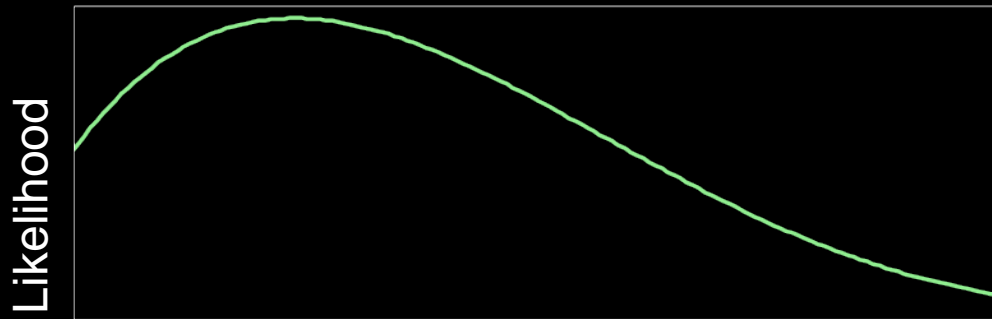
- The prior function  $\Pr(H)$  gives the probability of different possible values of the quantity of interest before the data are considered – that is, it represents the state of knowledge *prior* to the data.
- Prior may be broad or flat if we have few data (non-informative prior), or peaked if we have more information (informative prior).



(Population size, length at sexual maturity,  
haplotype frequency, model parameter)

# Likelihood

- The likelihood function  $\Pr(\text{data} \mid H)$  gives the probability of obtaining the data, given different possible values of the unknown quantity of interest (the “hypothesis”  $H$ ).
- The likelihood is calculated using a **statistical model**, which represents the process that produced the data. The likelihood function connects the parameters of the model to the data.

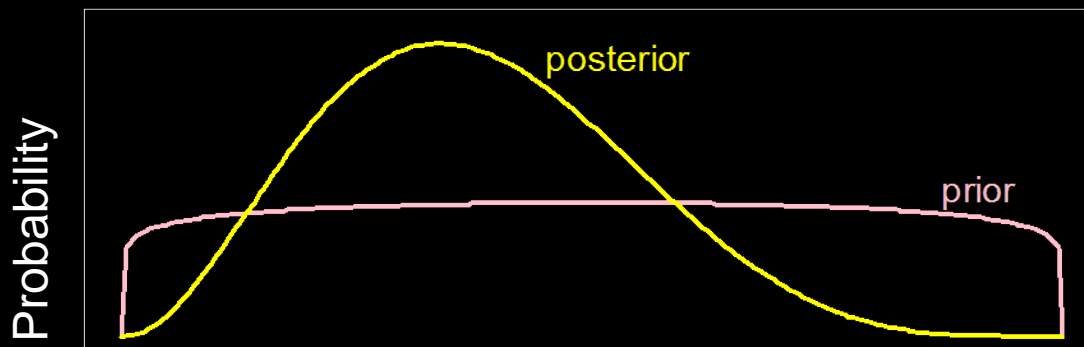


Unknown quantity of interest

(Population size, length at sexual maturity,  
haplotype frequency, model parameter)

# Posterior

- The posterior function  $\Pr(H \mid \text{data})$  gives the probability of different possible values of the quantity of interest after the data are considered – that is, it represents the state of knowledge *posterior* to the data.
- The posterior is a combination of the prior (what we knew before) and the likelihood (what the data told us).
- The difference between the *prior* and the *posterior* indicates how much we learned from the data.



Unknown quantity of interest

(Population size, length at sexual maturity,  
haplotype frequency, model parameter)

# Paradigm for Bayesian inference

posterior likelihood x prior

new state of knowledge information from new data x current state of knowledge

Thus, in Bayesian reasoning, new data update the current state of knowledge through Bayes' Theorem. The result is a new state of knowledge represented by the posterior.

# Bayes' Theorem

(H) The Hypothesis (the unknown) and  $x = \text{data}$

$$p(\mathbf{H} | \text{data}) = \frac{p(\text{data} | \mathbf{H}) p(\mathbf{H})}{p(\text{data})}$$

**posterior** = **likelihood** x **prior**

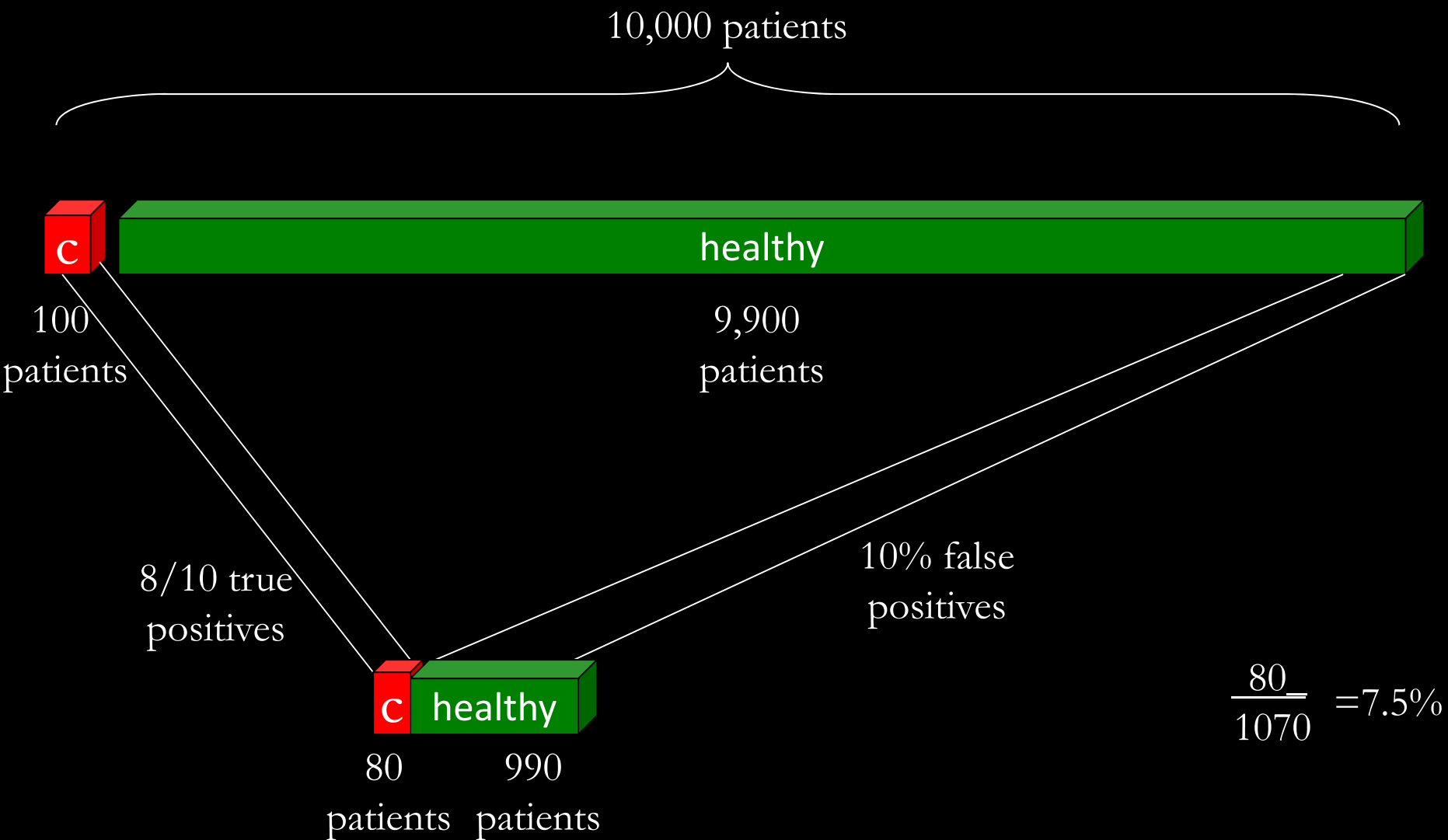
## 2 fundamental Bayesian concepts:

1. Things that are unknown are represented by probability distributions.
2. Things that are known (data) are used to improve the knowledge of unknowns through Bayes' Theorem.



# Bayesian view of cancer

- 1% of women at 40 yrs have breast cancer
- Mammography diagnoses 8/10 correctly (true positive rate, false negative rate)
- 10% of mammographies are false positives
- If you get a positive result, what are your odds of having breast cancer?



# Bayes Theorem depends on the prior probability ( $\text{pr}(A)$ ):

$$\text{Pr}(A|B) = \frac{\text{Pr}(B|A) \text{Pr}(A)}{\text{Pr}(B)}$$

A = have cancer  
B = positive result

$$\frac{.8*.01}{.8*.01 + .1*.99} = 7.5\%$$

1,000,000 patients



healthy

999,999  
patients

1

patient

8/10 true  
positives

10% false  
positives



~.8      9,999

patients    patients

$$\frac{0.8}{10,000} = 0.0008\%$$

Q. What is the Bayesian Conspiracy?

A. The Bayesian Conspiracy is a multinational, interdisciplinary, and shadowy group of scientists that controls publication, grants, tenure, and the illicit traffic in grad students. The best way to be accepted into the Bayesian Conspiracy is to join the Campus Crusade for Bayes in high school or college, and gradually work your way up to the inner circles. It is rumored that at the upper levels of the Bayesian Conspiracy exist nine silent figures known only as the Bayes Council.

# Applications of Bayes to DNA-Seq

# GATK single sample genotype likelihoods

Bayesian model

Likelihood for the genotype    Prior for the genotype    Likelihood of the data given the genotype    Independent base model

$$L(G | D) = P(G)P(D | G) = \prod_{b \in \{good\_bases\}} P(b | G)$$

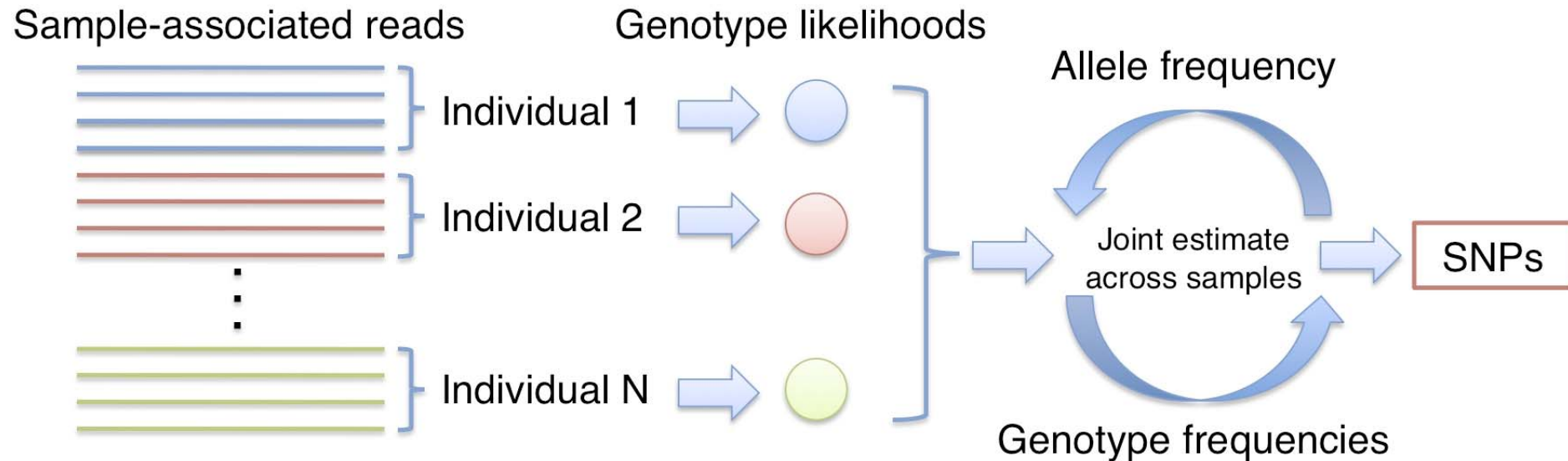
- Priors applied during multi-sample calculation;  $P(G) = 1$
- Likelihood of data computed using pileup of bases and associated quality scores at given locus
- Only “good bases” are included: those satisfying minimum base quality, mapping read quality, pair mapping quality, NQS
- $P(b | G)$  uses a platform-specific confusion matrix
- $L(G | D)$  computed for all 10 genotypes

Each Platform is slightly different, and so  
intrinsic errors are different

Figure Redacted  
Unpublished Proprietary Data



# The Broad Unified Genotyper SNP caller multiple-sample allele frequency and genotype estimates



- This approach allows us to combine weak single sample calls to discover variation among samples with high confidence

# SNIP-Seq SNP calling

For each potential variant site in the sequenced region:

Set the base quality value for each base call to the Illumina quality value

For  $k = 1, 2, \dots$

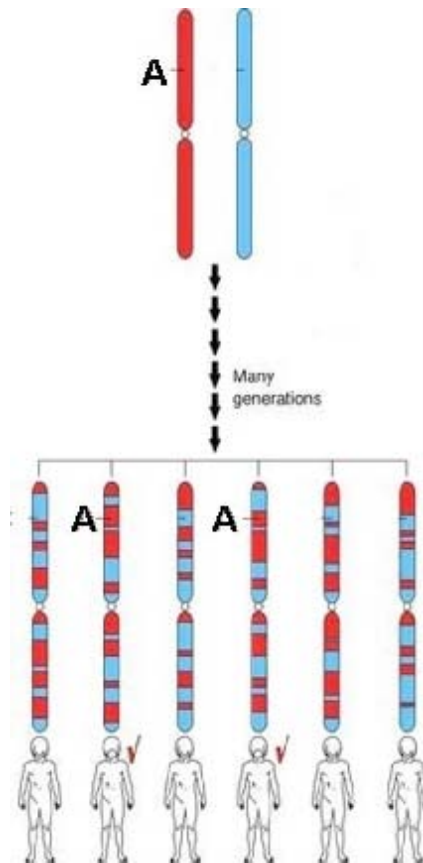
- a. Sample a genotype for each individual from the posterior distribution using a heterozygote prior of 0.001.
- b. Recalibrate the quality score for each base call using genotypes for all individuals.

If the genotype of any individual is different from the reference, identify position as a SNP.

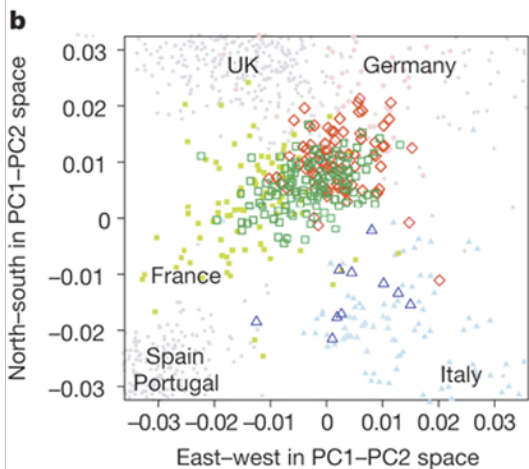
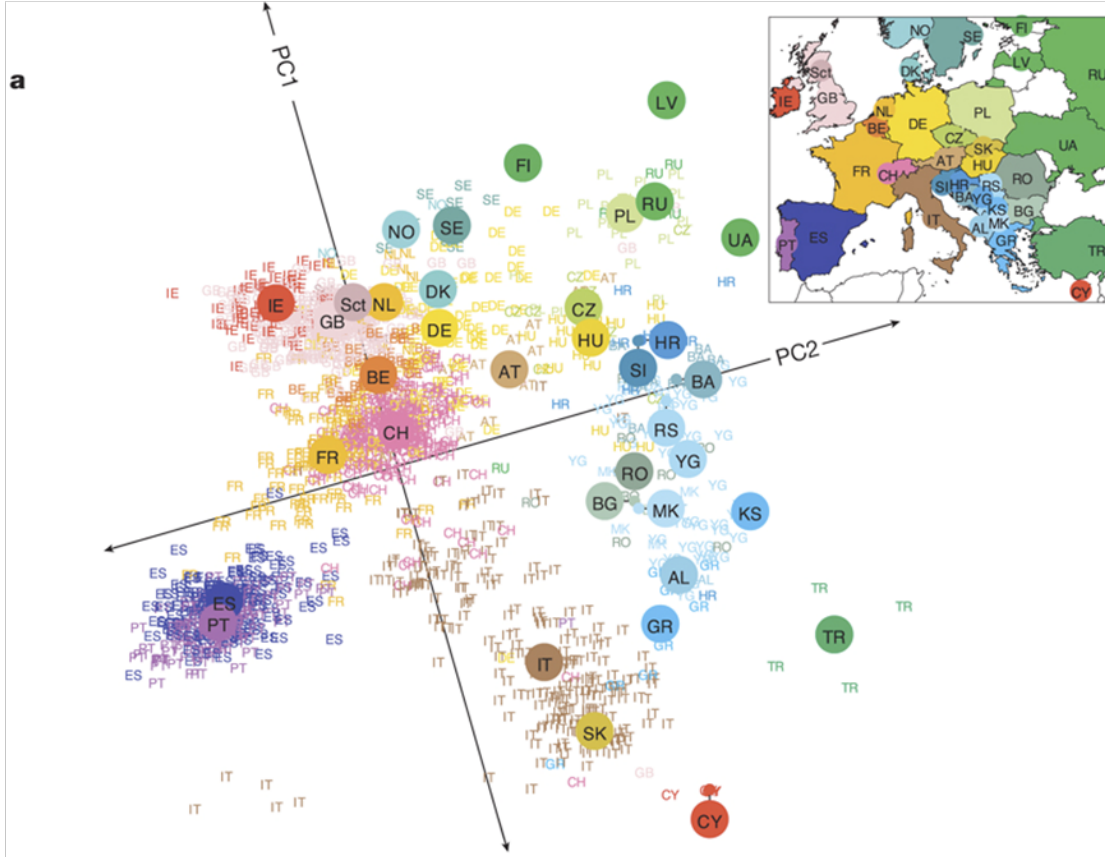
Sample a genotype for each individual from the posterior distribution computed using a heterozygote prior of 0.2.

Population-based models can overcome systematic errors, but...

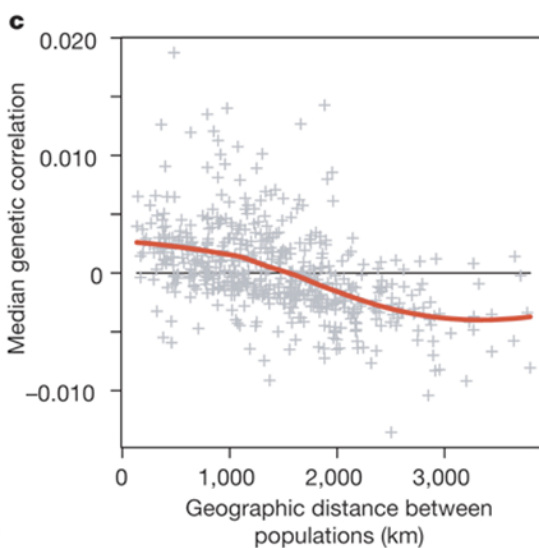
# Population Stratification is from the migration patterns of haplotypes throughout human history



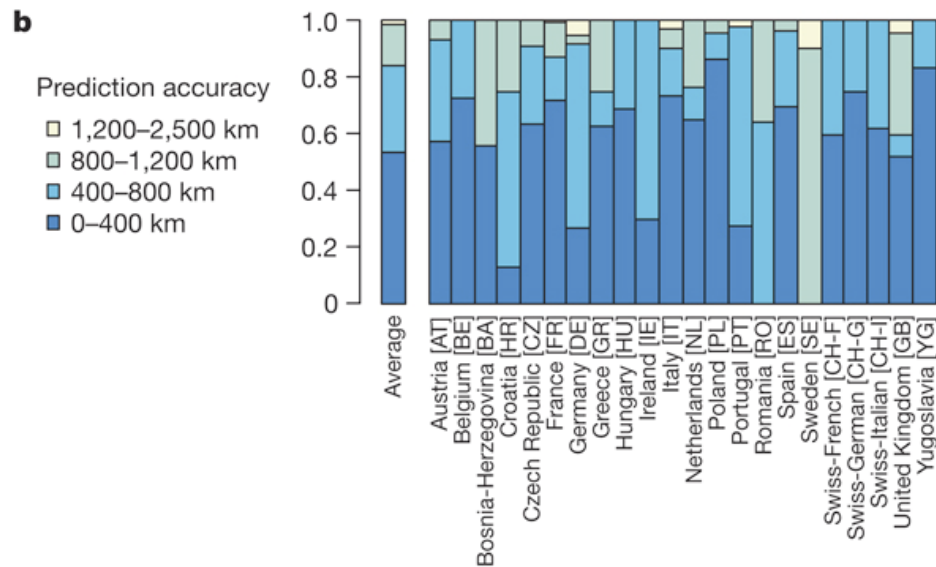
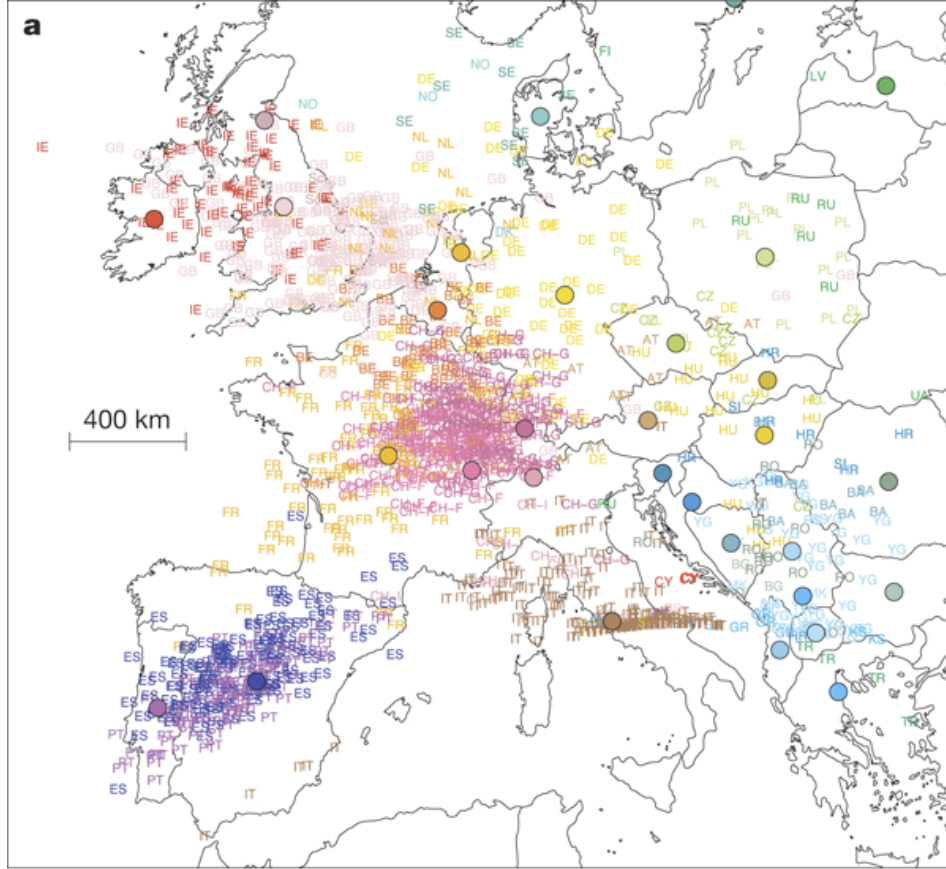
Tom Moore



- French-speaking Swiss
- ◇ German-speaking Swiss
- △ Italian-speaking Swiss
- French
- ◆ German
- ▲ Italian



Genes mirror geography within Europe  
 Novembre et al, 2008

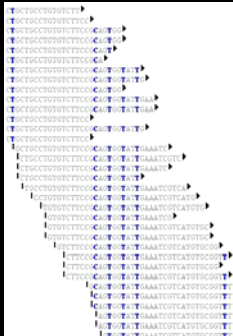


Genes mirror geography within Europe  
 Novembre et al, 2008

# Applications of Bayes to RNA-Seq



# Microarrays vs. RNA-Seq

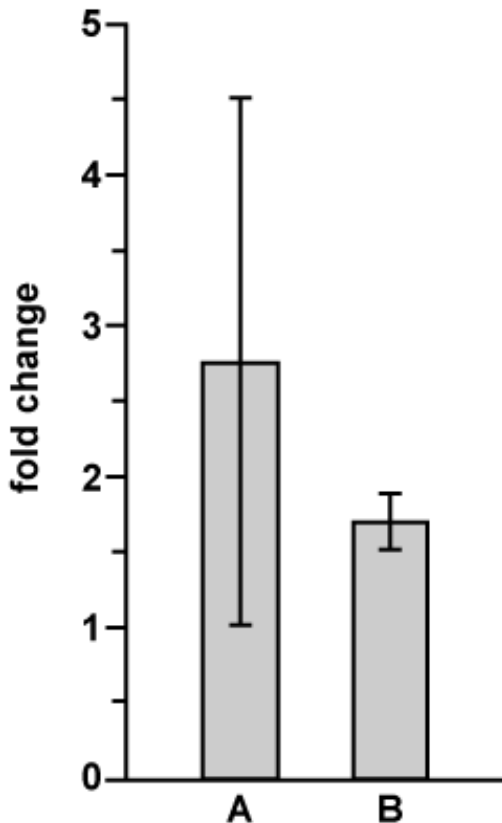


RNA-Seq: An assessment of technical reproducibility and comparison with gene expression arrays



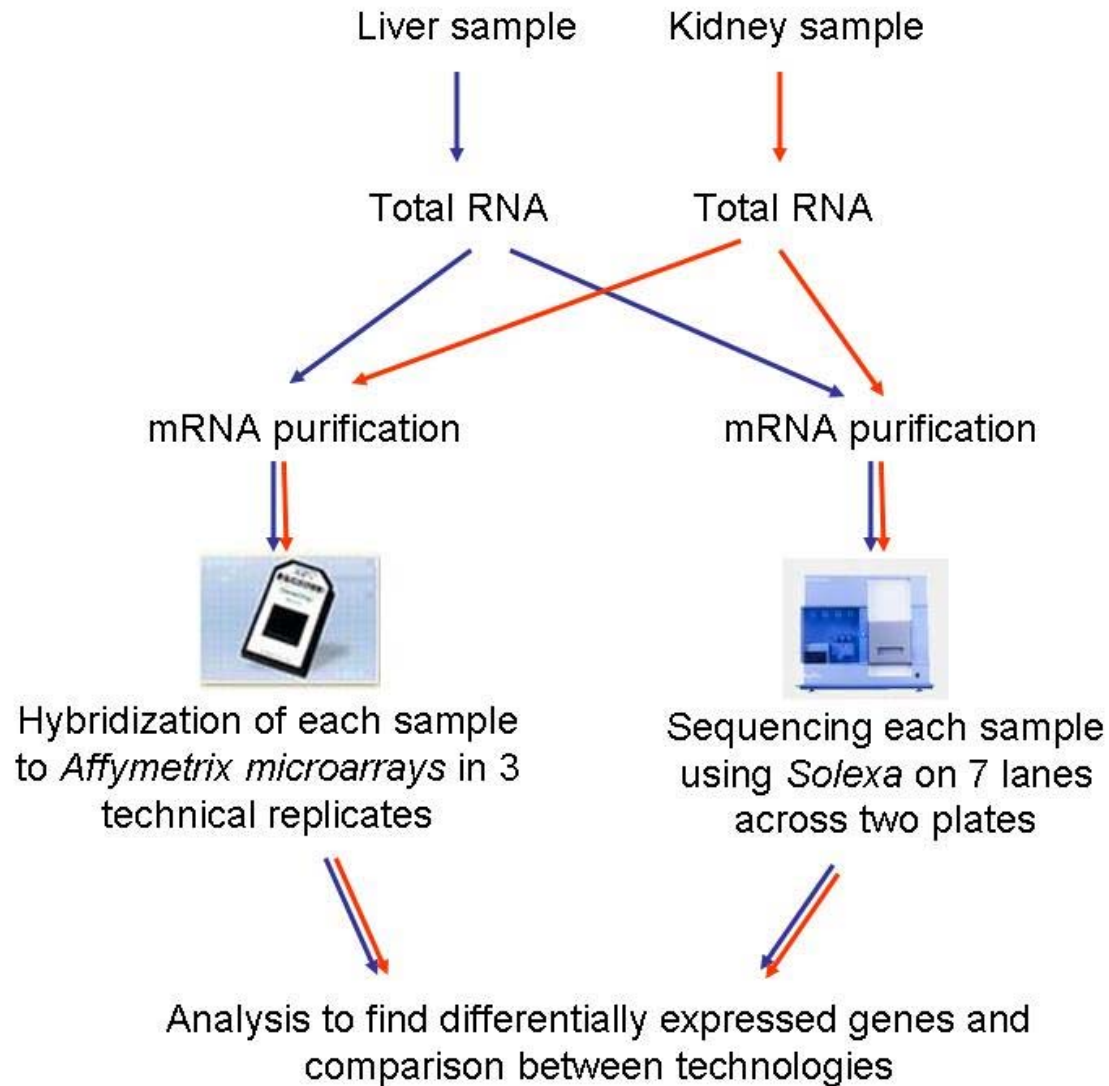
# Data Analysis: What genes are differentially expressed?

- Early days—fold change cutoffs (e.g., 2x difference or better)
- not a very satisfying approach:
  - doesn't take into account variance
  - misses any small changes



Here, “A” has a fold change  $>2.5$ , but varies greatly between replicate experiments. “B” has a fold change of only 1.75, but changes reliably each time the experiment is performed.

# Experimental Design: Liver vs. Kidney



# Metric for RNA-Seq Expression

RPKM:

Reads per Kilobase per Million Reads

Normalizes for (1) gene size and (2) sequencing depth  
(~0.1-1 transcript/cell)

$$\text{RPKM} = \frac{N \text{ reads}}{1 \text{ gene}} \times \frac{1 \text{ gene}}{B \text{ bp}} \times \frac{1000 \text{ bp}}{1 \text{ Kb}} \times \frac{1 \text{ Million reads}}{Y \text{ total reads}}$$

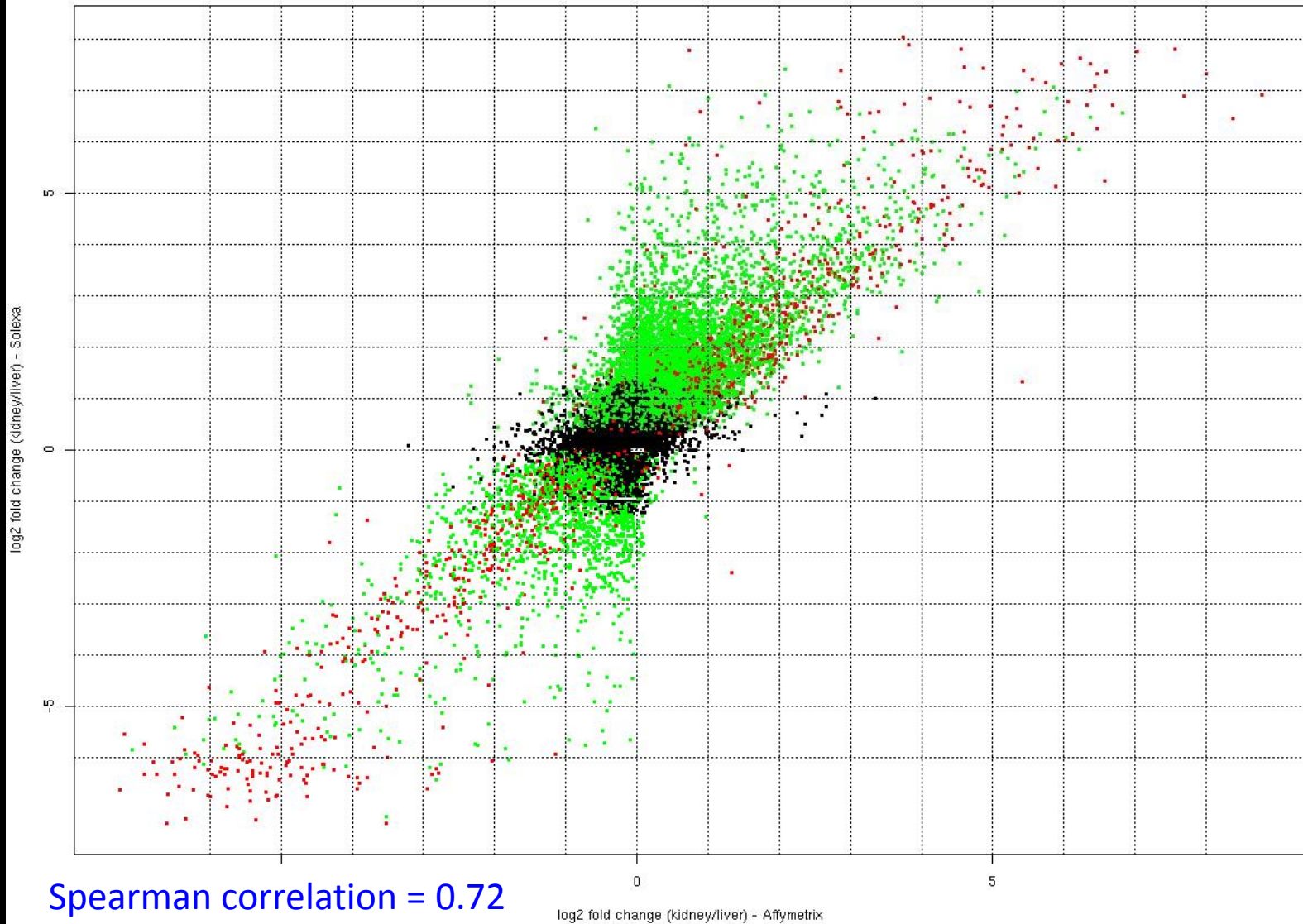
Y = (exons, introns, intergenic reads)

FPKM=fragments-PKM  
is for paired-end data

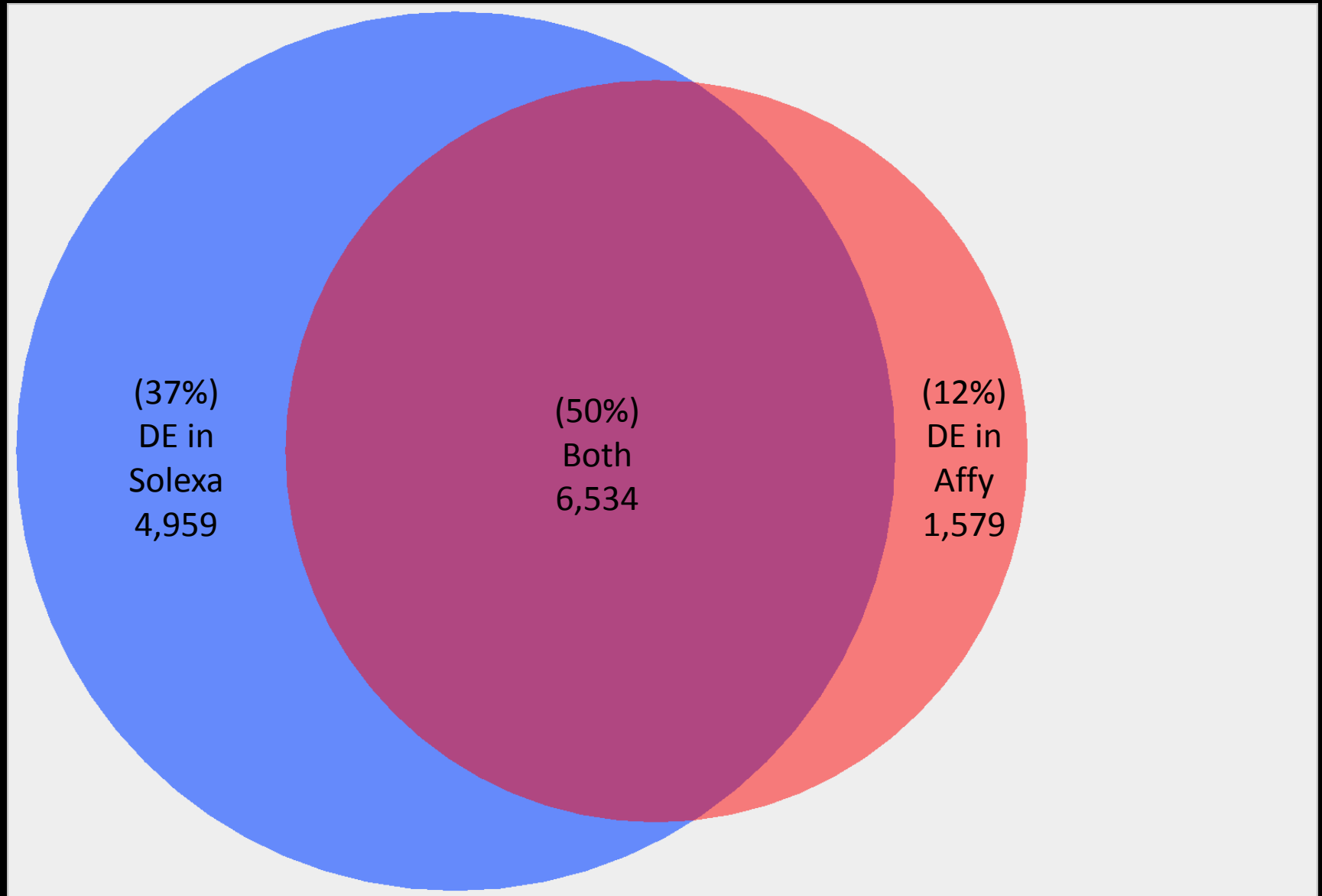
Mortazavi, Williams, *et al.*  
*Nature Methods*, 2008

# Comparing GA and Affy arrays

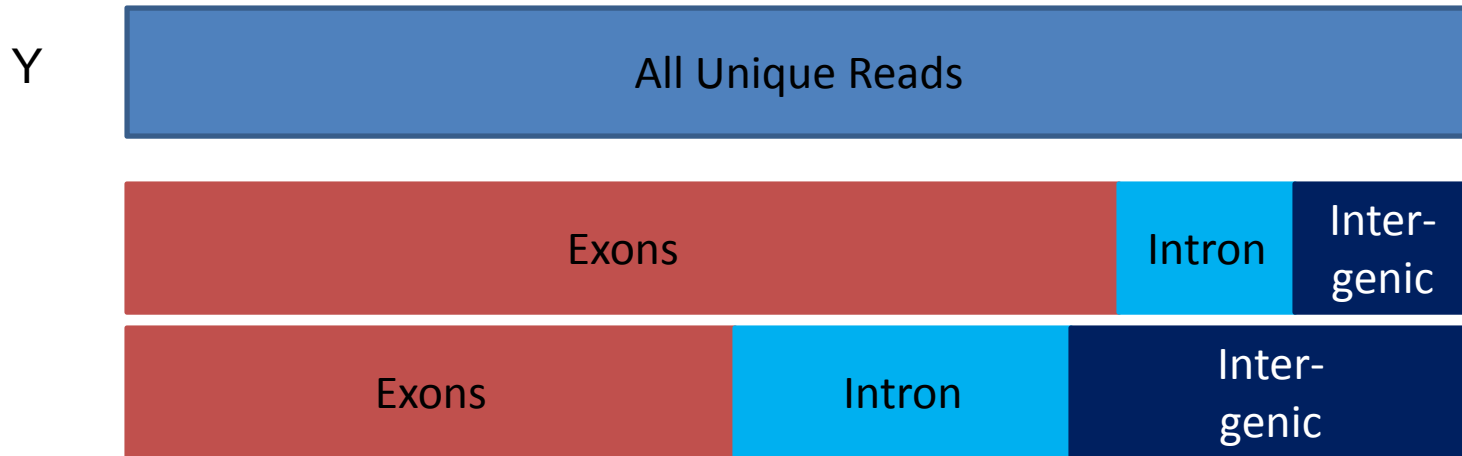
Comparing Solexa and Affymetrix



# 13,072 Differentially Expressed (DE) Genes



# Bias is introduced if these ratios are not kept:



**Good Run** RPKM = 128.4

**Bad Run** RPKM = 72.7

# Normalization is needed

Define  $Y_{gk}$  as the observed count for gene  $g$  in library  $k$  summarized from the raw reads,  $\mu_{gk}$  as the true and unknown expression level (number of transcripts),  $L_g$  as the length of gene  $g$  and  $N_k$  as total number of reads for library  $k$ . We can model the expected value of  $Y_{gk}$  as:

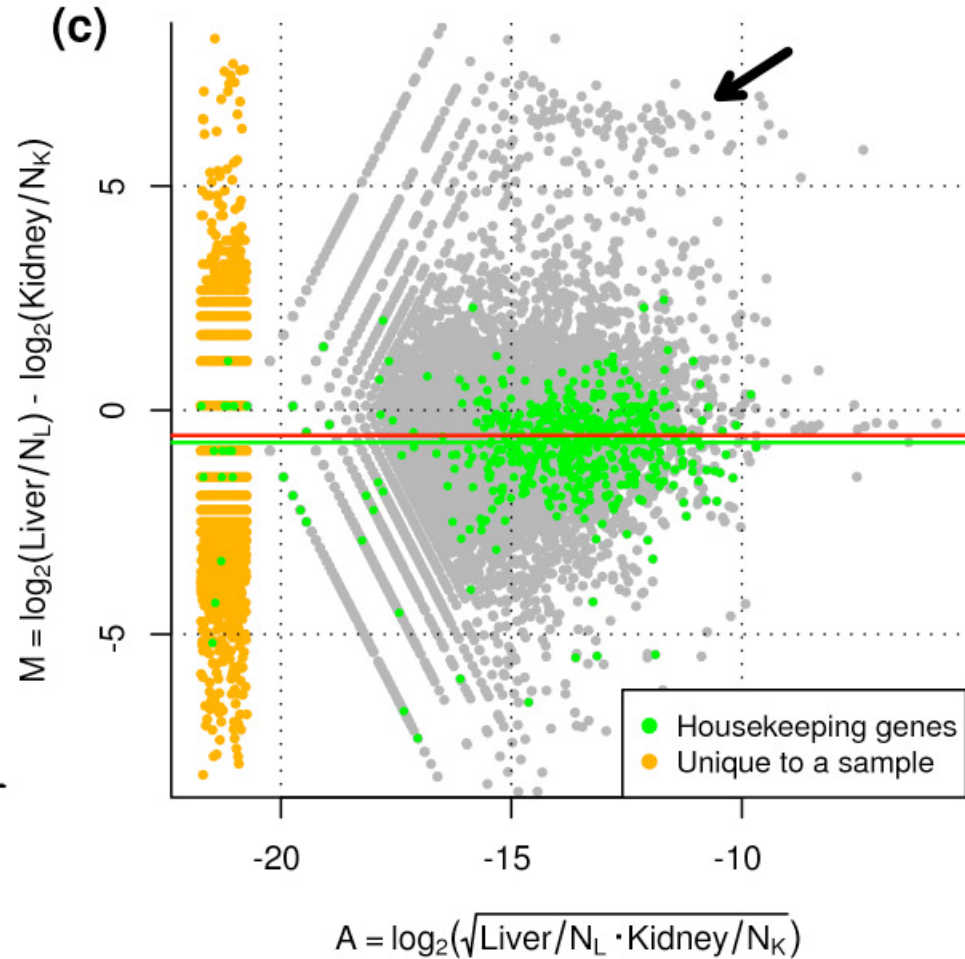
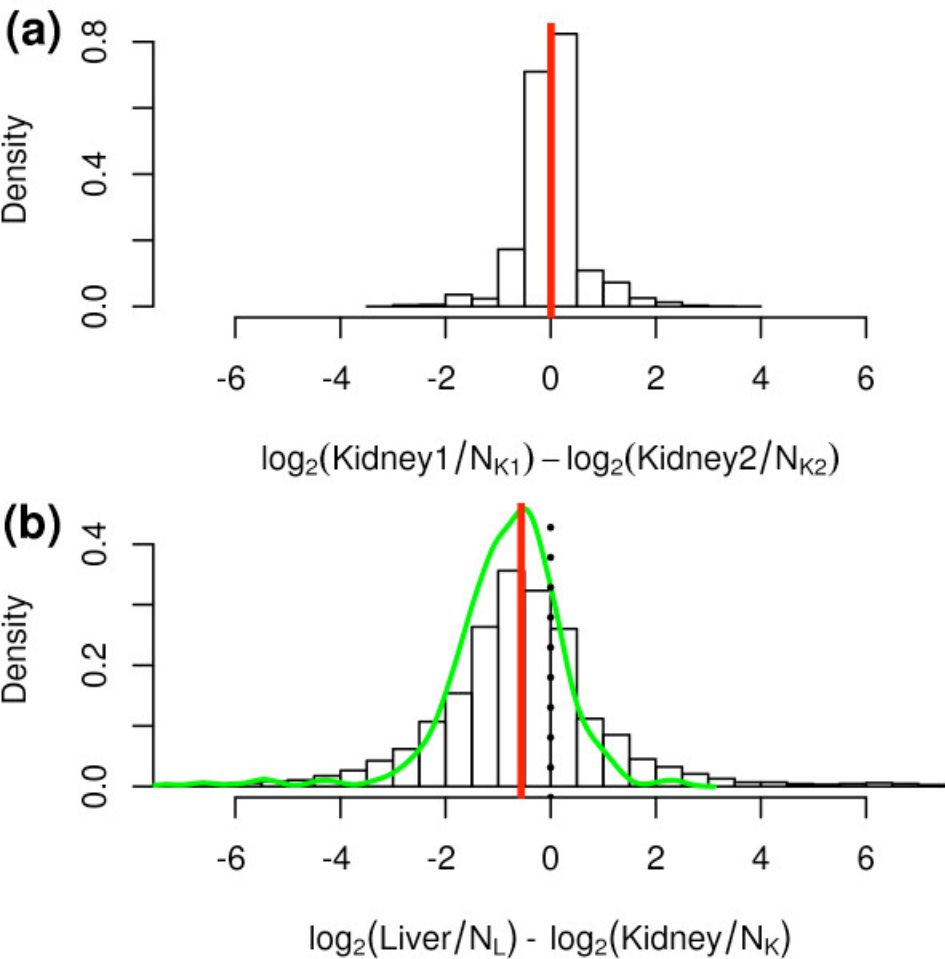
$$E[Y_{gk}] = \frac{\mu_{gk} L_g}{S_k} N_k$$

where  $S_k = \sum_{g=1}^G \mu_{gk} L_g$

$S_k$  represents the total RNA output of a sample. The problem underlying the analysis of RNA-seq data is that while  $N_k$  is known,  $S_k$  is unknown and can vary drastically from sample to sample, depending on the RNA composition.

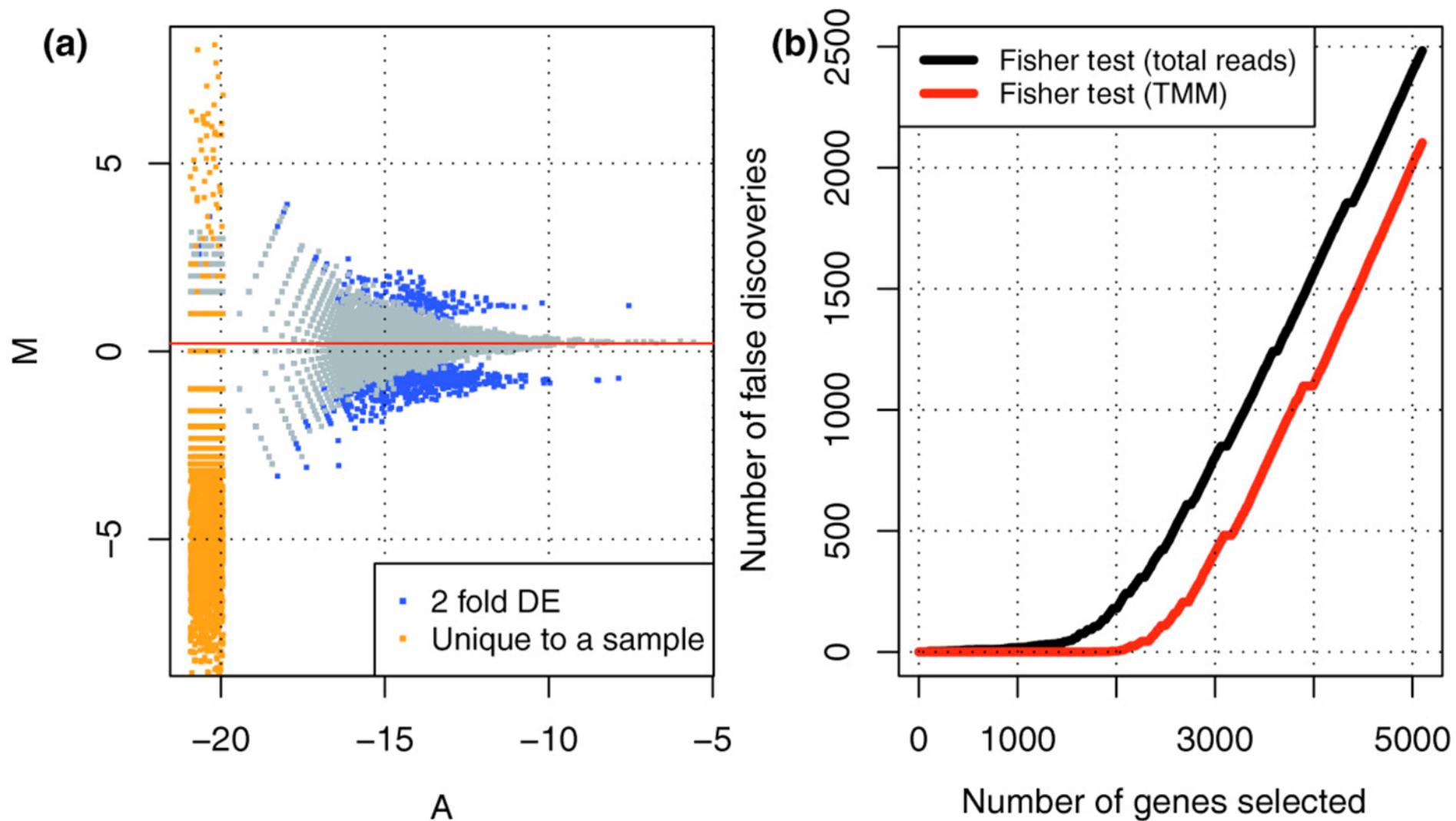
$$M_g = \log_2 \frac{Y_{gk} / N_k}{Y_{gk'} / N_{k'}}$$

# Normalization is needed





# Normalization is needed





# MISO / Probabilistic analysis and design of RNA-Seq experiments for identifying mRNA isoform regulation

[Home](#) | [Paper](#) | [Software](#) | [Documentation](#) | [Datasets](#) | [Contact](#)

## About MISO

### About MISO

MISO (Mixture of Isoforms) is a probabilistic framework that quantitates the expression level of alternatively spliced genes from RNA-Seq data, and identifies differentially regulated isoforms or exons across samples. By modeling the generative process by which reads are produced from isoforms in RNA-Seq, the MISO model uses Bayesian inference to compute the probability that a read originated from a particular isoform.

MISO uses the inferred assignment of reads to isoforms to quantitate the abundances of the underlying set of alternative mRNA isoforms. Confidence intervals over estimates can be obtained, which quantify the reliability of the estimates.



Depts. of [Biology](#) and [Biological Engineering](#)  
Dept. of [Brain and Cognitive Sciences](#)

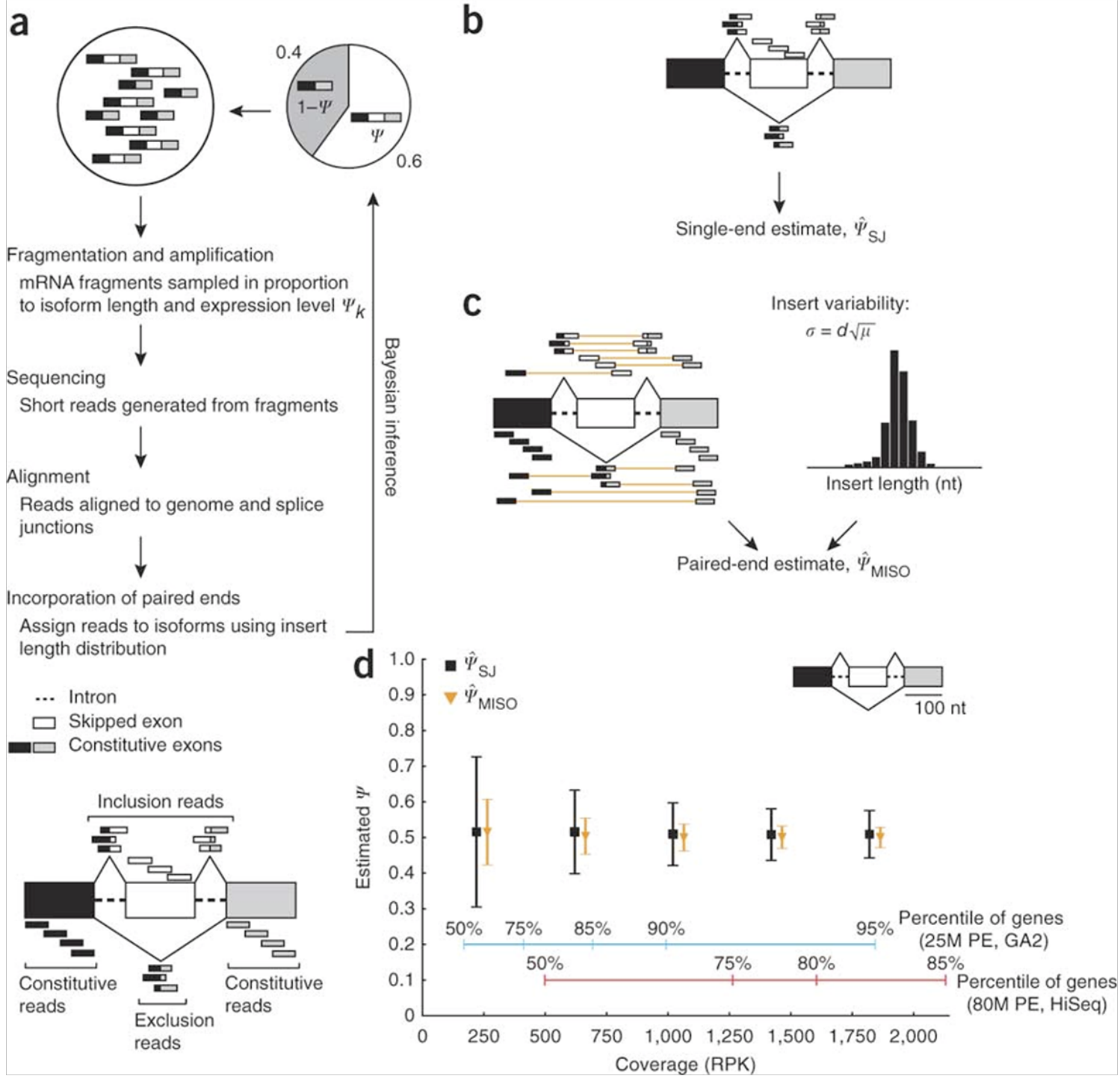


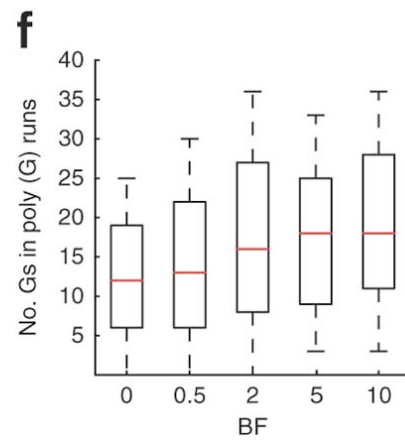
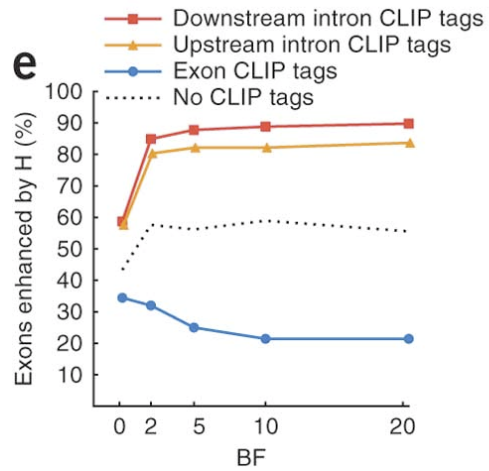
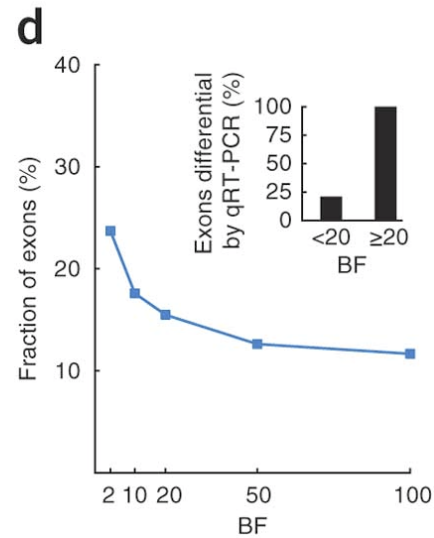
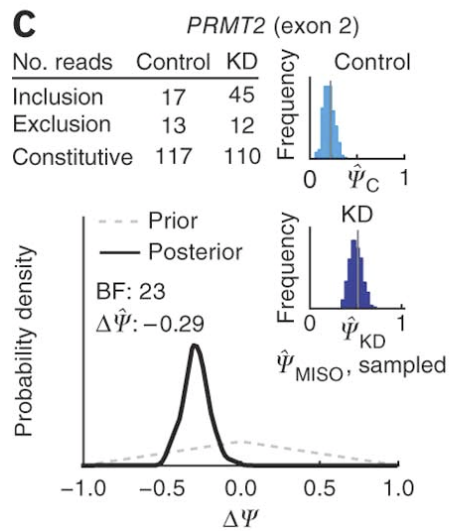
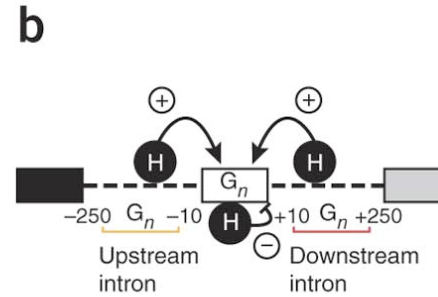
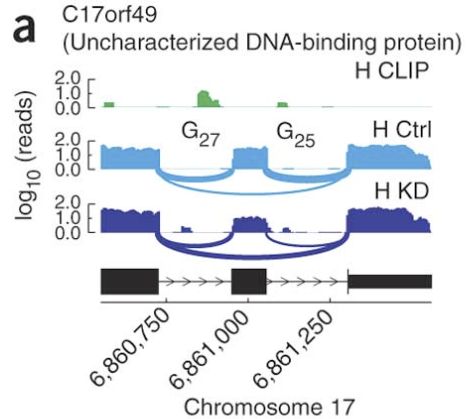
Harvard  
University

Dept. of [Statistics](#)  
[FAS Center for Systems Biology](#)

### Contact

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31 Ames Street, 68-271A  
Cambridge, MA 02139-4307





# Coverage Requirements: How many lanes/plates/wells?

Depends on:

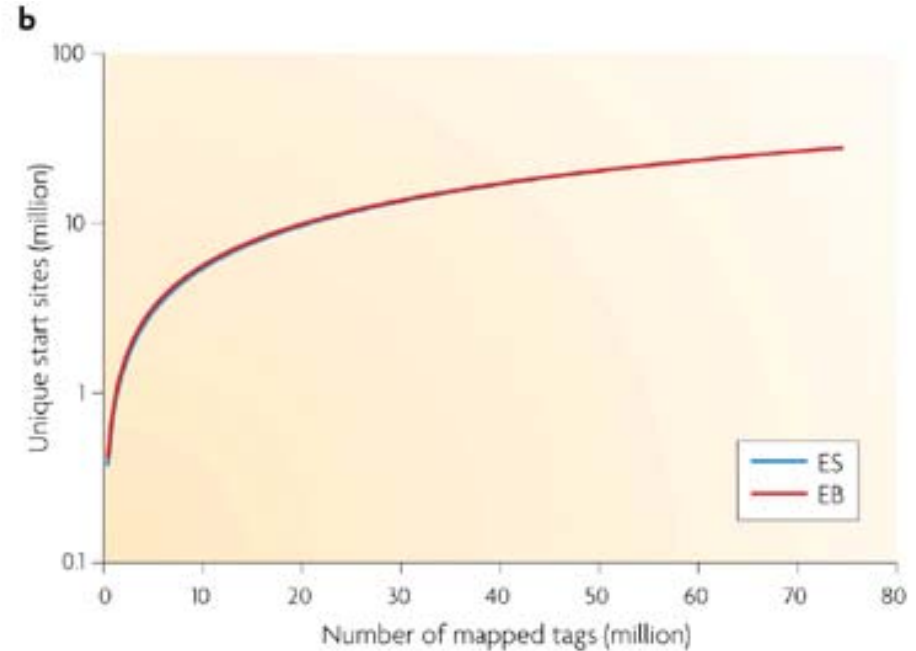
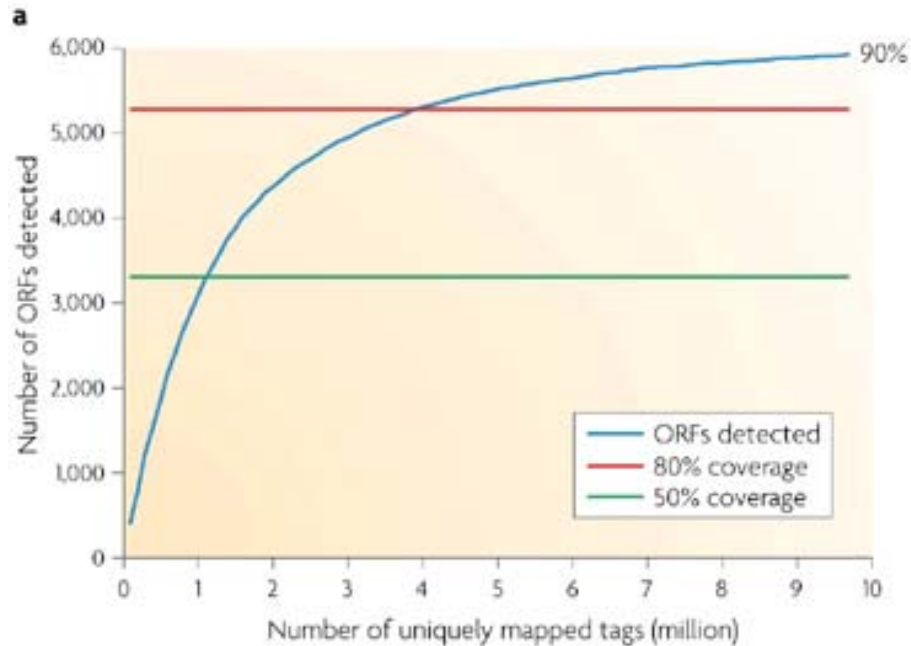
1. Read Length
2. Size of Transcriptome
3. Complexity of Transcriptome
4. Complexity of Tissue
5. Biological Variance
6. Errors (random and systematic)

# Plateau of Information Starts @ ~500Mb

Number of lanes compared	Differentially expressed genes	Overlap with genes called from the array	Correlation of fold changes between Solexa and the array
One vs One	5670	4208	0.67
Two vs Two	7994	5340	0.70
Three vs Three	9482	5909	0.71
Four vs Four	10580	6278	0.72
Five vs Five	11493	6534	0.73

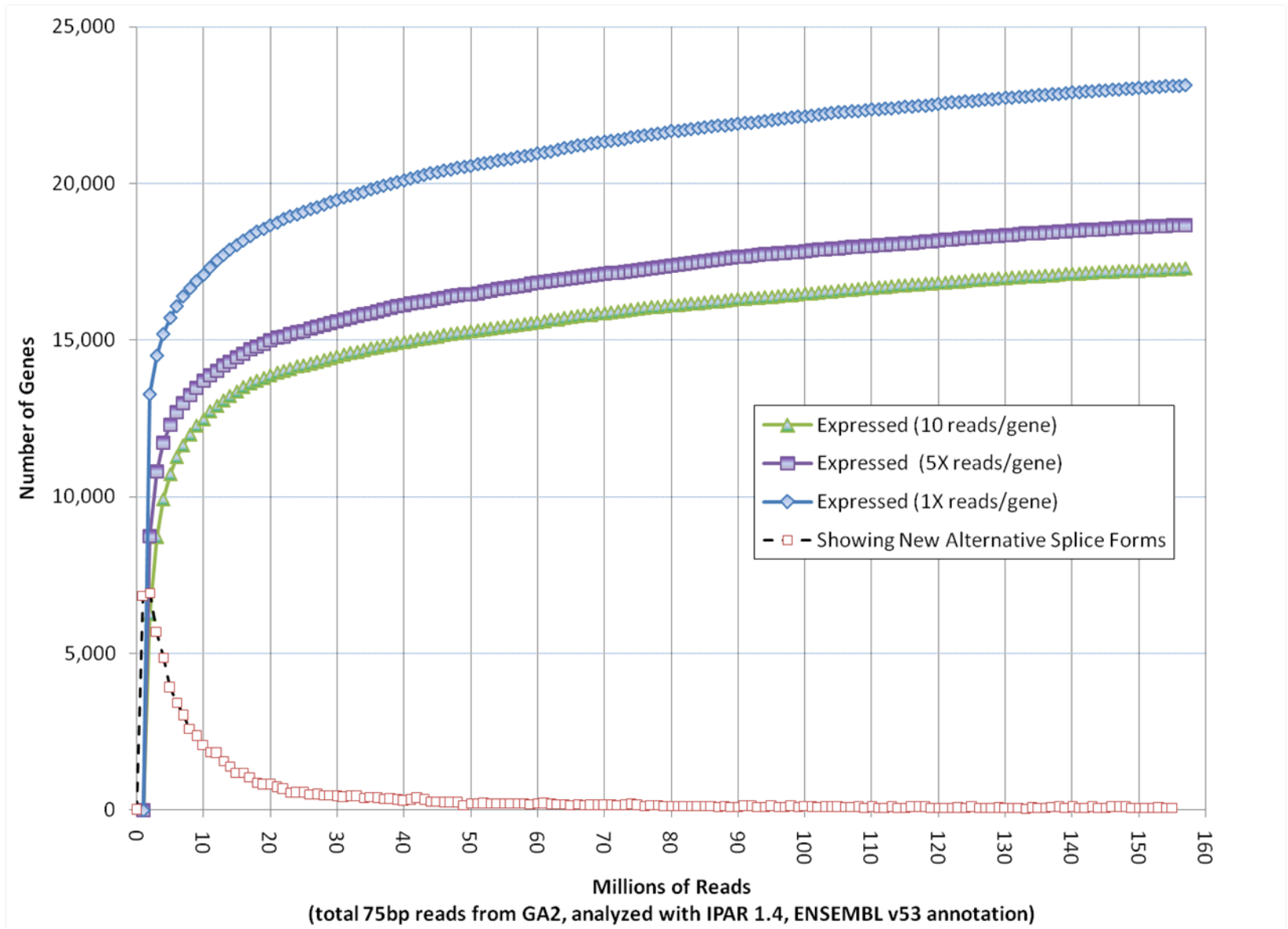
Liver			Kidney		
	No genes	Percentage		No genes	Percentage
Five Lanes	20080	100	Five Lanes	20921	100
Four Lanes	19695	97.9	Four Lanes	20552	98.2
Three Lanes	19170	95.5	Three Lanes	20064	96.0
Two Lanes	18390	91.6	Two Lanes	19355	92.5
One Lane	16973	84.5	One Lane	18080	86.4

# Coverage Requirements



Nature Reviews | Genetics

# No current visible end of gene discovery





# How many replicates do I need?

Calculation of the number of replicates depends on:

1. An estimate of  $\sigma^2$  obtained from previous experiments.
2. The size of the difference ( $\delta$ ) to be detected.
3. The assurance with which it is desired to detect the difference (i.e., Power of the test =  $1-\beta$ ).
4. The level of significance to be used in the actual experiment (i.e., Type I error).
5. The test required, whether a one-tail or two-tail test.

To determine the number of replicates use the following formula :

$$\#reps = 2 \left( Z_{\alpha/2} + Z_{\beta} \right) \left( \frac{\sigma}{\delta} \right)^2$$

where:  $Z_{\alpha/2}$  is associated with the Type I error (two-tailed)

$Z_{\beta}$  is associated with the Type II error

$\delta$  is the true difference to be detected, and

$\sigma$  is the known variance obtained from previous experiments

# Bayes in Chip-seq too!

Research article

Highly accessed

Open Access

## BayesPeak: Bayesian analysis of ChIP-seq data

**Christiana Spyrou**<sup>1,3</sup> ✉, **Rory Stark**<sup>3</sup> ✉, **Andy G Lynch**<sup>4</sup> ✉ and **Simon Tavaré**<sup>2,4</sup> ✉

1 Statistical Laboratory, Centre for Mathematical Sciences, Wilberforce Road, Cambridge, UK

2 DAMTP, Centre for Mathematical Sciences, Wilberforce Road, Cambridge, UK

3 Cancer Research UK, Cambridge Research Institute, Li Ka Shing Centre, Robinson Way, Cambridge UK

4 Department of Oncology, University of Cambridge, Li Ka Shing Centre, Robinson Way, Cambridge, UK

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*BMC Bioinformatics* 2009, **10**:299   doi:10.1186/1471-2105-10-299

The electronic version of this article is the complete one and can be found online at: <http://www.biomedcentral.com/1471-2105/10/299>

Received: 8 May 2009

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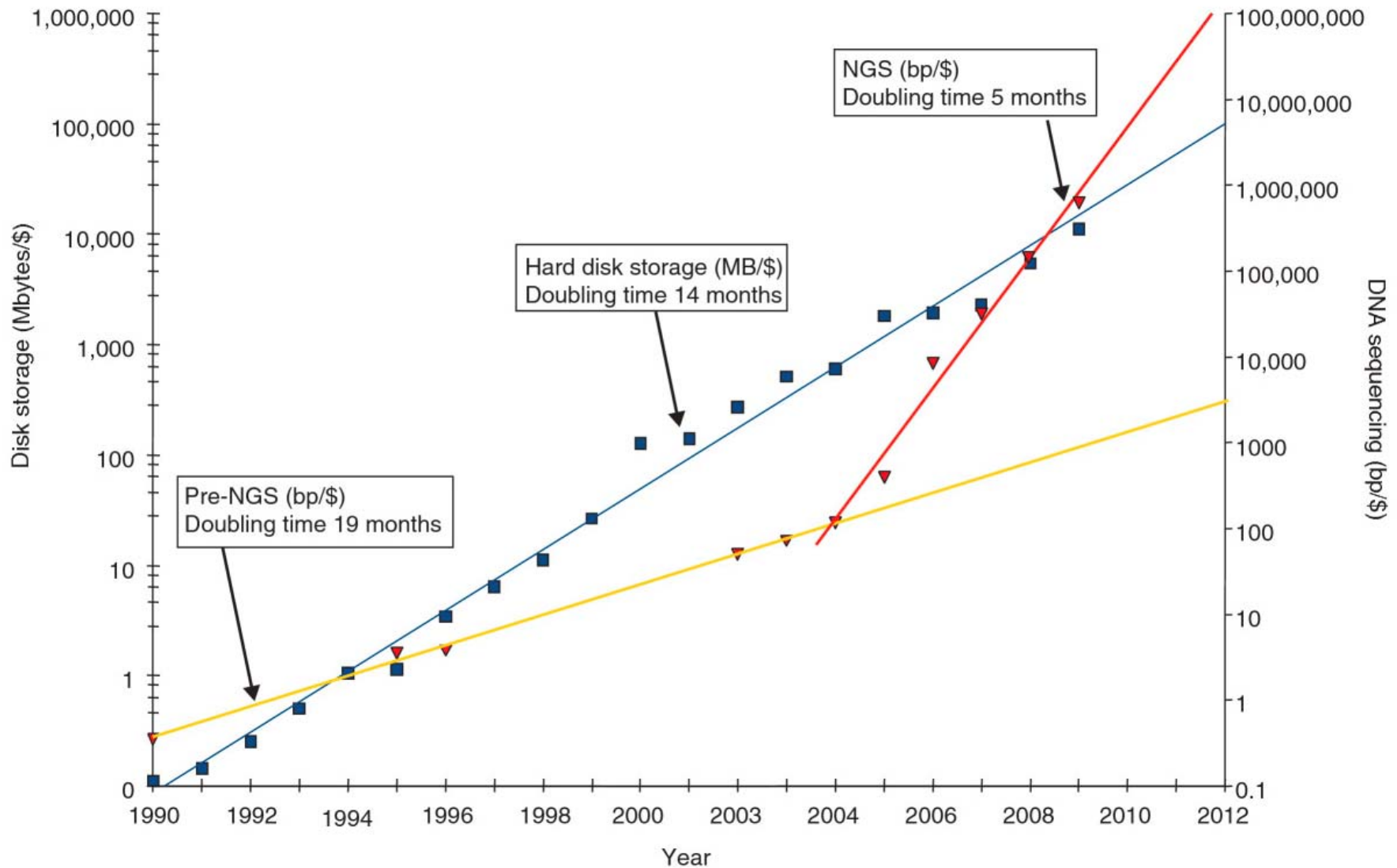
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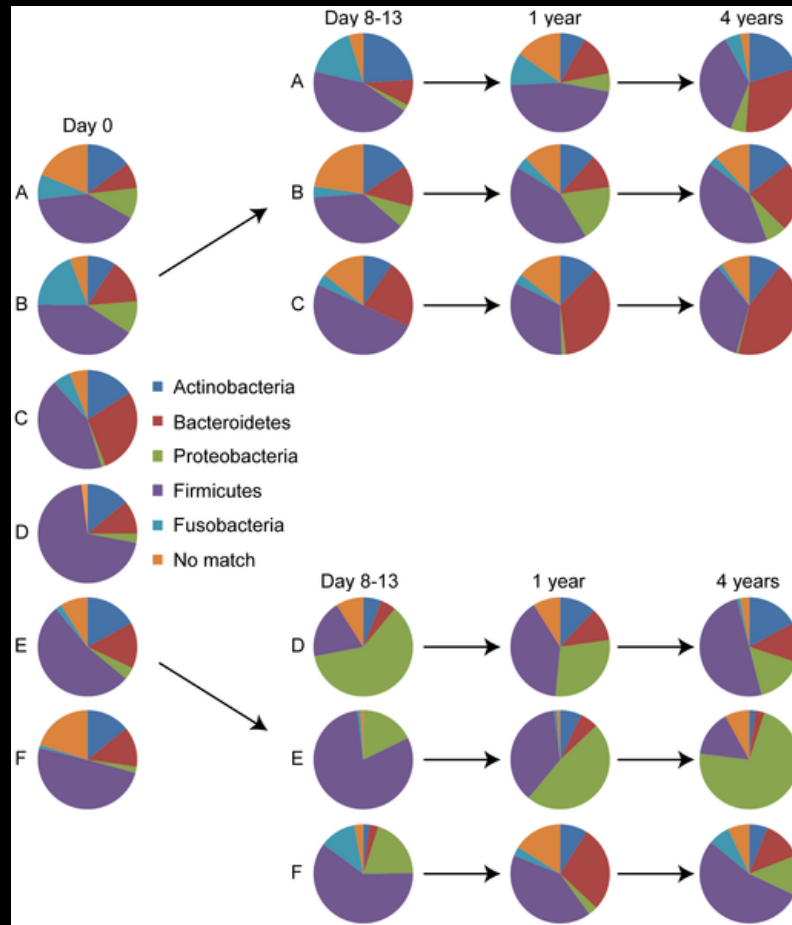
# What' s the problem with Bayesian statistics? (according to non-Bayesians)

1. Priors can introduce subjective judgment into data analysis.
2. Priors affect the result. Different people can get different answers from the same data.
3. It' s too hard. There are no simple point-and-click programs.

# This requires a lot of space



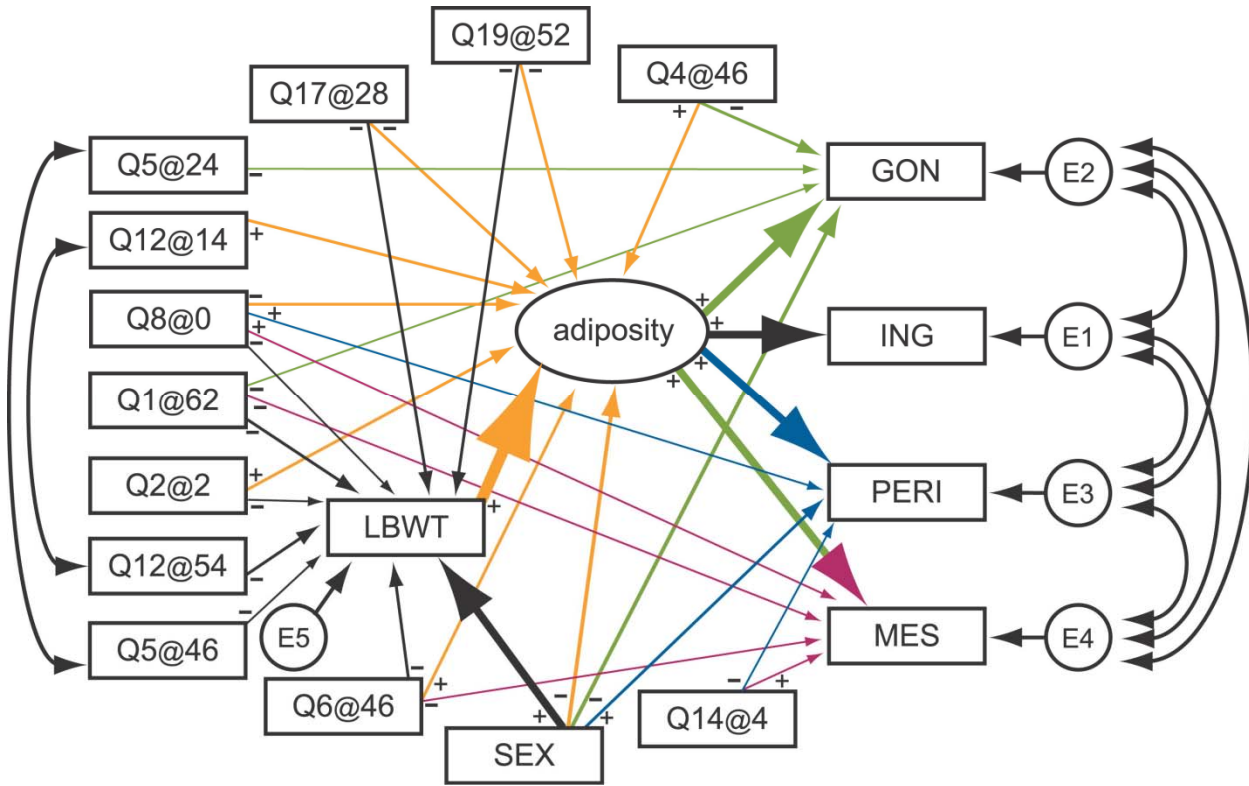
Meta-genomic phenotypes can persist for years, and “passenger genomes” can be a phenotype, as well as their distributions.



Normal throat

Throat + antibiotics

# Risk factors for diseases usually involve many genes and pathways



# There are other factors than these!

A screenshot of a Nature journal article page. The top header is red with the word "nature" in white lowercase letters. To the right of the logo, it says "International weekly journal of science". Further right, there are two buttons: "Search" and "This journal". Below the header, there is a breadcrumb trail: "nature.com > journal home > current issue > letter > full text". The main title of the article is "Genome, epigenome and RNA sequences of monozygotic twins discordant for multiple sclerosis" followed by "SHOW NO DIFFERENCE" in red. Below the title is a list of authors: Sergio E. Baranzini, Joann Mudge, Jennifer C. van Velkinburgh, Pouya Khankhanian, Irina Khrebtukova, Neil A. Miller, Lu Zhang, Andrew D. Farmer, Callum J. Bell, Ryan W. Kim, Gregory D. May, Jimmy E. Woodward, Stacy J. Caillier, Joseph P. McElroy, Refujia Gomez, Marcelo J. Pando, Leonda E. Clendenen, Elena E. Ganusova, Faye D. Schilkey, Thiruvarangan Ramaraj, Omar A. Khan, Jim J. Huntley, Shujun Luo, Pui-yan Kwok, Thomas D. Wu, and "et al.". Below the authors are links for "Affiliations", "Contributions", and "Corresponding authors". At the bottom, it says "Nature 464, 1351–1356 (29 April 2010) | doi:10.1038/nature08990" and "Received 25 July 2009 | Accepted 11 March 2010".

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NATURE | LETTER

## Genome, epigenome and RNA sequences of monozygotic twins discordant for multiple sclerosis **SHOW NO DIFFERENCE**

Sergio E. Baranzini, Joann Mudge, Jennifer C. van Velkinburgh, Pouya Khankhanian, Irina Khrebtukova, Neil A. Miller, Lu Zhang, Andrew D. Farmer, Callum J. Bell, Ryan W. Kim, Gregory D. May, Jimmy E. Woodward, Stacy J. Caillier, Joseph P. McElroy, Refujia Gomez, Marcelo J. Pando, Leonda E. Clendenen, Elena E. Ganusova, Faye D. Schilkey, Thiruvarangan Ramaraj, Omar A. Khan, Jim J. Huntley, Shujun Luo, Pui-yan Kwok, Thomas D. Wu  *et al.*

[Affiliations](#) | [Contributions](#) | [Corresponding authors](#)

*Nature* **464**, 1351–1356 (29 April 2010) | doi:10.1038/nature08990  
Received 25 July 2009 | Accepted 11 March 2010

Systems biology requires spatiotemporal monitoring of the genome, epigenome, transcriptome, proteome, metabolome, and the environment, to see the interactome